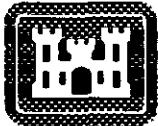


Review of Structural Stability
West Branch Farmington River
Colebrook, Connecticut

Colebrook River Lake

SEPT 1990



**US Army Corps
of Engineers
New England Division**

1107-669

CECW-EP-E (CENED-ED-P/24 Sep 90) (10-1-7a) 1st End MARTIN/tf/
(202) 272-8892

SUBJECT: Review of Structural Stability - Colebrook River Lake,
West Branch Farmington River, Colebrook, CT

20 NOV 1990

HQ, U.S. Army Corps of Engineers, Washington, D.C. 20314-1000

FOR Commander, New England Division, ATTN: CENED-ED-P

The subject report has been reviewed and is satisfactory.

FOR THE DIRECTOR OF CIVIL WORKS:

John A. McPherson
JOHN A. MCPHERSON
Acting Chief, Engineering Division
Directorate of Civil Works

3 Encls
wd all encls



REPLY TO
ATTENTION OF

DEPARTMENT OF THE ARMY
NEW ENGLAND DIVISION, CORPS OF ENGINEERS
424 TRAPELO ROAD
WALTHAM, MASSACHUSETTS 02254-9149

CENED-ED-P

24 September 1990

MEMORANDUM FOR Commander, U.S. Army Corps of Engineers, Attn:
~~CECW-EX~~, 20 Mass. Ave., N.W. Washington,
D.C. 20314-1000

SUBJECT: Review of Structural Stability - Colebrook
River Lake, West Branch Farmington River, Colebrook, CT

1. Forwarded herewith are three (3) copies of a report titled, "Review of Structural Stability" dated September 1990 for Colebrook River Lake, West Branch Farmington River, Colebrook, CT. This analysis was performed by the Structural Branch of our Design Division, based upon current criteria (par. 2e, appendix A of ER 1110-2-100). One (1) copy of the "Review of Structural Stability -Appendix A - Calculations" is also enclosed.
2. The structures analyzed satisfied all stability criteria for overturning, sliding and foundation pressure. It is therefore concluded that all structures have adequate stability in accordance with current stability criteria and do not require any additional strengthening.

FOR THE COMMANDER:

Encls

R. D. Reardon
RICHARD D. REARDON
Director of Engineering

**REVIEW OF STRUCTURAL STABILITY:
COLEBROOK RIVER LAKE
WEST BRANCH, FARMINGTON RIVER
COLEBROOK, CONNECTICUT**

PREPARED BY

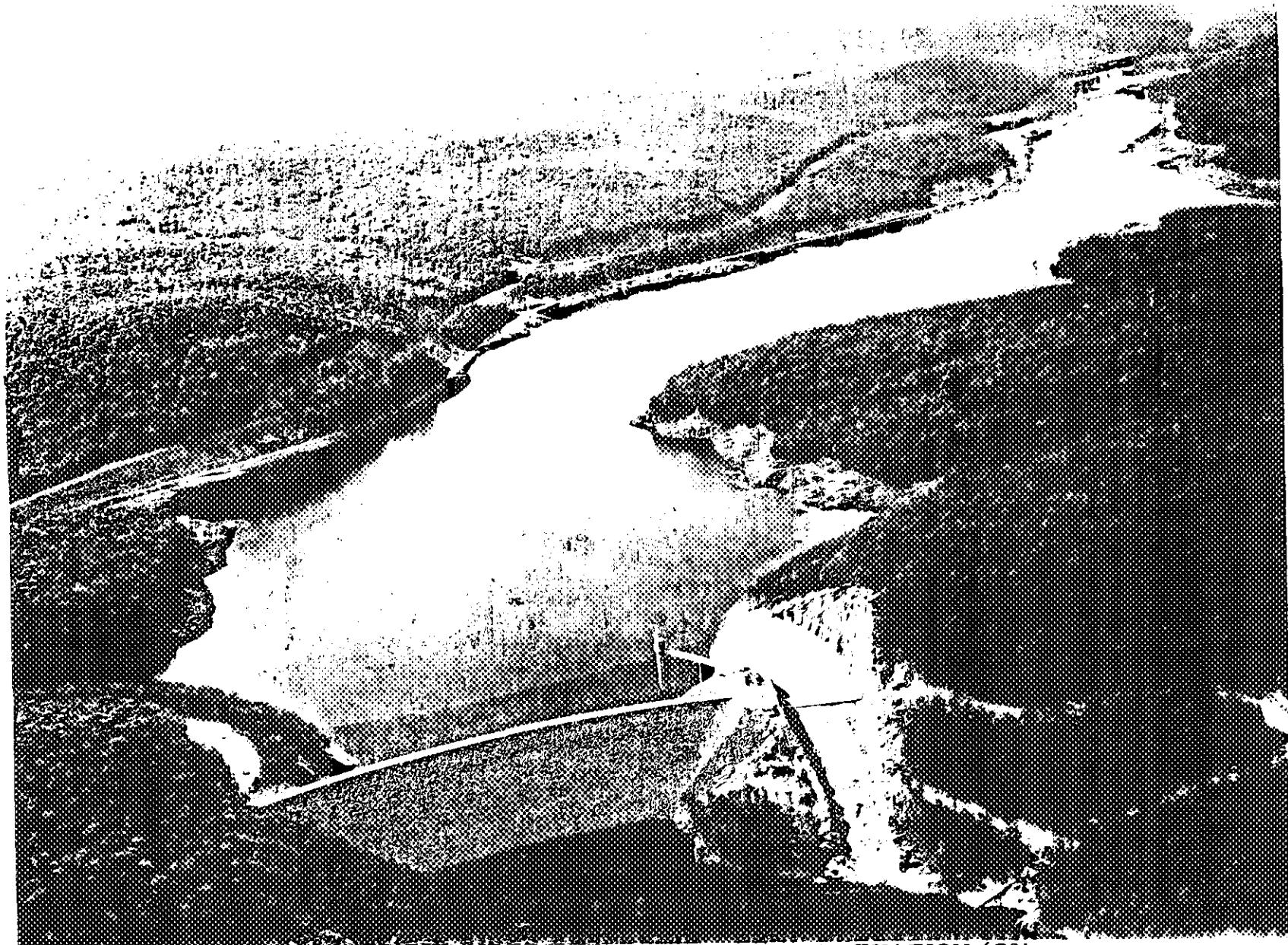
**U.S.ARMY CORPS OF ENGINEERS
NEW ENGLAND DIVISION,
WALTHAM, MA**

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COLEBROOK RIVER RESERVOIR - POOL ELEVATION 673'

REVIEW OF STRUCTURAL STABILITY
COLEBROOK RIVER LAKE

SUMMARY OF REPORT

Stability analyses of the existing principal concrete structures at the Colebrook River Lake were performed to determine whether these structures satisfy current stability criteria. The methods used were a combination of conventional hand calculations and computer modeling. The computer program used was CORPS library CASE program "A Three-Dimensional Stability Analysis/Design Program (3DSAD)" X8100E (edition E, PC version).

The structural elements considered and the qualitative results of the analysis are listed below:

<u>Structure</u>	<u>All Criteria Satisfied</u>
Spillway Weir	Yes
Spillway West Retaining Wall	Yes
Service Bridge Pier	Yes
Service Bridge Abutment	Yes
Intake Tower with Crane	Yes

The conclusion of this study is all structures, have adequate stability in accordance with current stability criteria and do not require any additional strengthening.

TABLE 1 - Summary of Foundation Material Parameters

	Unit Wt. (lb/cu ft)			Bearing Capacity (ksf)	Angle of Internal Friction (degrees)	At Rest Earth Press. Coefficient (K_0)	Average Shear Strength (ksf)
	<u>dry</u>	<u>sat</u>	<u>sub</u>				
Rock Fill	120	140	78	N.A.	40	.36	N.A.
Pervious Fill	135	147	85	7	37	.60	0.42
Impervious Fill	130	145	83	8	30	.50	1.5
Foundation Rock	165	-	-	70*	58	.84	149.0
Concrete	150	-	-	130	30*	.50	11.5*

- Notes:
1. The (*) values govern for rock/concrete calculations.
 2. Assumed internal angle of friction, $\theta = 30$ for concrete / rock interface, and $K_0 = 0.5$ for At-rest Soil Pressure Coefficient.
 3. Bearing capacity for Pegmatite Granite was reduced by 56% from NAVFAC DM-7.2 recommended value due to foliations and schists in boring logs.
 4. The 11.5 ksf shear strength of concrete value, is taken from ACI 318-77 section 17.5.2.1

TABLE 2 - STABILITY CRITERIA FOR 3DSAD CDAMS MODULE*

	<u>Minimum Percent Eff. Base</u>	<u>Maximum Bearing Pressure</u>	<u>Minimum Sliding FS</u>
(1) LONG TERM	100%	1.0 x ALLOWABLE	2.0
(2) SHORT TERM	75%	1.33 x ALLOWABLE	2.0
(3) INSTANTANEOUS	0	1.33 x ALLOWABLE	1.3

* From: Criteria for 3DSAD, A Computer Program for Stability Analysis and/or Design of Three Dimensional Gravity Structures, JUN 1978, page 16.

Table 3 - Retaining Wall Stability Criteria*

Case No.	Loading Condition	Sliding Factor of Safety, FS	Overturning Criteria			Minimum Bearing Capacity FS
			Soil Fnd.	Area in Compression	Rock Fnd.	
R1	Usual	1.5		100% **	75% **	3.0
R2	Unusual	1.33		75% **	50% **	2.0
R3	Earthquake	1.1		Resultant within base		1.0

* Table 2 is taken from EM 1110-2-2502, 29 Sep 89 Table 4-1 Page 4-5.

** Note: Less base area in compression than the minimum shown may be acceptable provided adequate safety against unacceptable differential settlement and bearing failure is obtained.

TABLE 4 - STABILITY ANALYSIS SUMMARY

	LOADING CASE	IN MIDDLE THIRD	IN BASE	SLIDING FACTOR OF SAFETY(2)	LENGTH OF BASE IN BEARING	BEARING ON ROCK MAXIMUM	PRESSURE KIPS/S.F. MINIMUM
<u>SPILLWAY WEIR</u>							
Typical Section	I	Yes	—	1058.71	40	1.824	1.202
Elev. 745.0	II	Yes	—	70.08	40	1.088	0.869
Height = 16ft.	III	Load Case III not Applicable					
Base = 40ft.	IV	Yes	—	17.49	40	0.621	0.320
	V	Yes	—	81.79	40	1.941	1.085
	VI	Yes	—	34.78	40	1.020	0.937
<u>SPILLWAY WEST</u>							
RETAINING WALL	R-1	Yes	—	66.71	5.5	0.905	0.560
Typ. Section	R-2	Yes	—	66.71	5.5	0.905	0.560
Elev. 785.0	R-3	Yes	—	41.43	5.5	1.211	0.205
Height = 6ft.	(Load Cases II, IV, VI Equivalent)						
Base = 5.5ft.							
<u>SERVICE BRIDGE PIER</u>							
Typ. Section	I	Yes	—	79.88	10.5	14.366	2.336
Elev. 698	II	Yes	—	59.14	10.5	7.783	4.150
Height = 84ft.	IV	Yes	—	39.22	10.5	6.994	3.412
Base = 10.5ft.	V	No	Yes	18.64	7.12	24.662	0.000
	VI	No	Yes	28.70	7.64	20.034	0.000
	Load Case III not applicable						
<u>BRIDGE ABUTMENT</u>							
Section	R-1	Yes	—	38.19	6ft.	7.775	0.864
Elev. 778	R-2	Yes	—	36.40	6ft.	7.517	0.864
Height = 16ft.	R-3	Yes	—	78.69	6ft.	4.796	2.704
Base = 6ft.	(Load Cases II, IV and VI Equivalent)						

TABLE 5 - STABILITY ANALYSIS SUMMARY (FOR INTAKE TOWER)

LOADING CASE	IN MIDDLE THIRD*	IN BASE	SLIDING FACTOR OF SAFETY	PERCENT BASE IN BEARING	BEARING ON ROCK MAXIMUM	PRESSURE KIPS/S.F. MINIMUM
<u>INTAKE TOWER</u>						
Bottom 569.0	I (N-S)	Yes	—	23	100	10.5 8.3
Top Elev. 823.5 (Crane +15')	I (E-W) II, III, IV (combined)	Yes	—	610 36	100 100	9.8 6.9 8.0 4.0
Base = 40ft.	V, VI IA (N-S) (w/ E-quake)	Yes	—	38 11.9	100 100	8.4 14.5 1.9 4.7
	IIA, IIIA, IVA (w/ E-quake)	Yes*	—	9.6	61	41.5 28.4

*NOTE: Because of Tower 3-D analysis and irregular shape of the base, the resultant may fall within the middle 1/3 of the base but not within the 3D kern. Criteria requires 75% effective base for earthquake loads which the tower has met.

REVIEW OF STRUCTURAL STABILITY

COLEBROOK RIVER LAKE DAM

PART ONE: GENERAL DESCRIPTION

1.1 Purpose

The objective of this study is to review the stability of the existing concrete structures at Colebrook River Lake, based upon the most recent and updated stability criteria. This review is performed to comply with Corps of Engineers regulation ER-1110-2-100, "Periodic Inspection and Continuing Evaluation of Completed Civil Works Structures", dated 8 April 1988. Periodic review of existing projects using current engineering practice is to protect public safety.

The major structural elements and project features analyzed for stability consist of the following:

- a. Spillway Weir
- b. Spillway West Retaining Wall
- c. Service Bridge Pier
- d. Service Bridge Abutment
- e. Intake Tower with Crane

1.2 Stability Criteria

The current stability criteria and governing regulations by which this project is evaluated are obtained in the following U.S. Army Corps of Engineers publications:

A. ENGINEERING MANUALS:

EM 1110-1-2101	Working Stresses for Structural Design, 1 Nov. 1963 (with change No. 2, 17 Jan. 1972)
EM 1110-2-2200	Gravity Dam Design, 25 Sept. 1958 (with change No. 2, 23 Nov. 1960)
EM 1110-2-2400	Structural Design of Spillways and Outlet Works, 2 Nov. 1964
EM 1110-2-2502	Retaining & Floodwalls, 29 Sept. 1989

B. ENGINEERING TECHNICAL LETTERS:

ETL 1110-2-256	Sliding Stability for Concrete Structures, 24 June 198158
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C. ENGINEERING REGULATIONS:

ER-1110-2-100 Periodic Inspection and Continuing Evaluation
of Completed Civil Works Structures, 8 April
1988

ER 1110-2-1806 Earthquake Design and Analysis for Corps of
Engineer Dams, 16 May 1983

D. Additional Design and Analysis Criteria References:

ACI 318-83 American Concrete Institute, Building Code
Requirements for Reinforced Concrete

NAVFAC DM-7.2 Foundations and Earth Structures, Design
Manual 7.2, May 1982

ETL 1110-2-184 Gravity Dam Design - Stability,
25 February 1974 (rescinded),
(Only, Table I - GRANITIC VARIETIES, was
used for pegmatite rock material parameters.)

E. U.S. ARMY CORPS OF ENGINEERS COMPUTER-AIDED STRUCTURAL ENGINEERING
(CASE) PROJECT DOCUMENTS:

A Three-Dimensional Stability Analysis/Design Program (3DSAD)
Report 4 Special-Purpose Module for Dams (CDAMS) AUG 1983

CORPS Library program "A Three-Dimensional Stability Analy-
sis/Design Program (3DSAD)" X8100E (edition E 1989, PC version)

Criteria for 3DSAD : A Computer Program for Stability Analysis
and/or Design of Three Dimensional Gravity Structures (CASE Task
Group on 3-D Stability Analysis, June 1978)

F. NEW ENGLAND DIVISION DOCUMENTS:

Design Memorandum Report No. 10, Detail Design of Structures,
COLEBROOK RIVER DAM & RESERVOIR, September 1964

Periodic Inspection Report No. 1, Colebrook River Lake, Feb. 1972

Periodic Inspection Report No. 4, Colebrook River Lake, July 1989

Record Drawings - Colebrook River Dam, Jan. 1965

1.3 Method of Computation:

The methods used were a combination of manual calculations and com-
puter modeling. The computer program used was CORPS library CASE program
"A Three-Dimensional Stability Analysis/Design Program (3DSAD)" X8100E
(edition E, PC version). Manual calculations were performed on the tower,
other structure calculations were performed using 3DSAD.

There are minor differences between the stability criteria between 3DSAD and EM-1110-2-2200 Gravity Dam Design. The 3DSAD program criteria for gravity dam overturning and bearing for the Category 2 (short-term) and Category 3 (earthquake) load cases, are similar to the criteria for retaining wall Unusual loading R-2, and Instantaneous loading R-3. For this analysis retaining walls were modeled as non-overflow gravity structures. By adjustment of 3DSAD input for soil parameters, ground water level and water surface, Gravity Dam Load Cases II IV, and VI became equivalent and simulated Retaining Wall Load Cases R-1, R-2 ,R-3.

1.4 Project Description

a. Colebrook River Lake dam embankment is located on the West Branch, Farmington River, in the town of Colebrook, Connecticut, 3.9 miles above its confluence with the Still River. The dam was constructed for the purposes of flood control, water supply, and fish and wildlife conservation. It was completed in June 1969. A hydro-power turbine was installed in the outlet works tunnel and 22 ton crane was installed on top of the tower in 1988.

b. The dam embankment is 1300' long, consisting of zoned rolled earth construction with an impervious fill core. Embankment slopes are compacted pervious fill, compacted gravel fill, covered by a three zone rock fill blanket. Under the impervious core is a grouted concrete cutoff curtain. Top of dam elevation is 790.0' National Geodetic Vertical Datum of 1929 (NGVD). The crest is 30' wide, topped by an 18' wide access road, and is approximately 223' above the original streambed. The reservoir is normally maintained at elevation 717' NGVD for water supply purposes. A chute type spillway, 198' wide at the spillway ogee weir (crest 520.0'NGVD), is cut through rock along the eastern side of the embankment. The outlet works consists of a normally submerged intake channel, intake tower with three gates, a 10' diameter tunnel, and a normally submerged outlet structure.

1.5 Pertinent Hydraulic Data

a. The hydraulic data used for this review are as follows:

Full Pool Condition: Reservoir at spillway crest elevation 761'NGVD, no tail water.

Flood Discharge Condition - Maximum surcharge condition at elevation 785'NGVD, spillway tailwater at elevation 761'NGVD.

b. Major impoundments are:

<u>Stage</u> (NGVD)	<u>z</u> <u>Full</u>	<u>Date</u>
757.5	90	June 1984
747.1	68	April 1983
739.8	53	July 1972
734.2	42	June 1979

1.6 Discussion of Stability Analysis and Criteria

A. Background:

The original design calculations, were based on EM 1110-2-2200 Gravity Dam Design, 25 September 1958 and, EM 1110-2-2400 Structural Design of Spillways and Outlet Works. The retaining walls were designed using EM 1110-2-2502 Retaining Walls, 29 May 1961. The process of designing the project structures consisted of selection of the section geometry, applying service loads and then analysis of three stability factors: overturning, sliding, and bearing.

B. Overturning:

The resistance to overturning by the structure is determined by determination of where the resultant of all forces is located on the structure base. For hydraulic structures the resultant should be located within the middle third of the base (the "kern") for all loading cases, except earthquake loading. For earthquake loading cases, it is acceptable for the resultant to fall outside the kern but must be within the base, provided that allowable foundation bearing pressures are not exceeded. For retaining walls, the resultant of all applied loads should fall within the middle third of the base, however if the wall bears on rock, a location farther out is permissible if sliding and foundation pressure criteria are satisfied.

C. Sliding:

For the outlet works (spillway and tower), under normal and flood loading conditions a 2.0 sliding factor of safety is required; for earthquake loading this is reduced to 1.3. For gravity walls and the bridge abutment the required sliding factor is 1.5 for usual loading (R-1), 1.33 for Unusual (R-2), and 1.1 for Earthquake (R-3).

Sliding theory and design criteria has undergone the largest amount of evolution since project design. The Sliding Resistance Method (basically an empirical limiting ratio) was used by the designer to select section dimensions. Sliding Resistance Method ratio is:

$$(H/V) > 0.65$$

The designers then checked the selected section for the sliding factor of safety, S s-f, using a modified early form of the Shear Friction Method, based on Henny, and defined by:

$$S \text{ s-f} = fV + rSA/H > 4.0$$

S (shear friction) = 0.5 and f= .65 were assumed by the designer.

Sliding stability of structures subjected to lateral loadings is now governed by the criteria outlined in ETL 1110-2-256: Sliding Stability for Concrete Structures 24 June 1981 and adapted in EM 1110-2-2502 Retaining & Floodwalls 29 Sept. 1989. Current acceptable sliding stability approaches, are a Multiple Wedge model using Mohr-Coulomb theory and an Alternate Method of Analysis (the "Expanded" Shear Friction Method) using a single wedge model.

The Multiple Wedge Approach considers the effects of a structural wedge acted on by both an active and passive sides of multiple soil wedges and multiple failure planes. Each wedge is considered to have homogeneous soil parameters and is assumed to shear along the unique shear failure plane of that soil.

This approach is appropriate when soil conditions are well known and detailed design is required for economic reasons.

The Alternate Method of Analysis, (Expanded Shear Friction Method), which was used in this analysis, combines Mohr-Coulomb theory and refinements to Henny's work. The analytical model is a single structural wedge acted on by service loads, and the shear failure plane is assumed to be the structure's interface of concrete base and foundation material. Colebrook River Lake structures have horizontal foundations or, in the case of the anchored spillway wall, "the clip angle" assumed along the construction joint is horizontal. With assumed horizontal failure plane, the Governing Wedge Equation reduces to:

$$FS = (cA + (V-U)\tan \phi)/H > 2.0$$

Solving for $FS > 2$ (1.3 for earthquake) is an iteration process governed by careful consideration of soil parameters. Although the usual analysis uses at-rest soil pressures, application of lateral earth passive pressure is allowed if such conditions can develop. At areas subject to scour it is best to neglect resisting soil wedge.

D. Bearing:

Bearing failure of structures founded on sound rock is not common. Simply stated a failure is the exceeding of the maximum allowable bearing pressure q_{all} (a function of $f'c$ compressive strength) of the concrete or the foundation material. This can cause unsatisfactory structure rotation by a material or a shear failure. The shear failure plane is a function of the angle of internal friction of a non-stratified homogeneous material. The shear failure plane in stratified materials usually occurs along the defined bedding planes. The structures analyzed in this report are founded on sound pegmatite granite rock, a non-stratified type of rock.

1.7 SERVICE LOADS:

A. Seismic: The original design calculations used a 0.05 g acceleration coefficient for seismic effects on the outlet works. The spillway weir and north spillway retaining wall were not analyzed for seismic. For this analysis, a pseudodynamic, static seismic analysis is performed on all structures using a coefficient of 0.1 g (from Table 1 moderate zone in ER 1110-2-1806: Earthquake Design and Analysis for Corps of Engineers Projects. Colebrook River Lake is in Seismic Zone 2 (moderate damage) on the Seismic Zone Map of Contiguous United States of America (TM 5-809-10 Seismic Design for Buildings Fig 3-1.)

In accordance with EM-1110-2-2200: Gravity Dam Design, two types of earthquake forces are applied to the hydraulic structures: inertia forces of the structure itself, and hydrodynamic forces resulting from the reaction of reservoir water. The inertia force of the structure is computed by the principle of mass times acceleration, and acts through the center of gravity of the tower. The hydrodynamic force uses a parabolic distribution based on Westgaard's work, to approximate the pressure on the structure due to the reservoir water during an earthquake.

For retaining walls, lateral forces produced by horizontal seismic accelerations of the backfill and the concrete section are applied, in addition to the static forces, to the center of gravity of the structural section. (EM 1110-2-2502 Retaining & Floodwalls 29 Sept. 1989)

B. Uplift: Uplift pressure at any point under a structure is the tailwater pressure plus the pressure measured along the hydraulic gradient between the upstream and downstream pool. Uplift pressure is considered to act over 100% of the area upon which it occurs. The line of creep method was used to determine uplift at a point.

C. Wind: A wind pressure of 30 pounds per square foot is used in this stability analysis. (EM 1110-2-2200: Gravity Dam Design)

D. Ice: The ice loading for this analysis was neglected for all structures. Ice pressure of 6000 psf was applied to the tower in the original design memorandum. Ice is considered not valid, due to the conservative conditions analyzed. The full pool "normal" condition is 44' ± above the long term conservation pool. Large pool stage rise, coupled with the distance of the tower from the embankment make ice loads highly unlikely during critical events. Ice has not historically been a problem; valid significant ice loads at Colebrook during major events is questionable and therefore is neglected.

1.7 STABILITY ANALYSIS LOAD CASES :

The various Engineering Manual load cases are specific by type of gravity structure. The computer program 3DSAD load cases are described in a slightly more general way. The description of the load cases extracted from the various criteria sources are as follows:

A. GRAVITY DAMS AND SPILLWAY WEIR: From EM 1110-2-2200: Gravity Dam Design

Case I: Construction Condition. Spillway completed but no water in reservoir, no tailwater, and wind load on the downstream face.

Case II: Normal Operating Condition. Pool elevation at spillway crest. Minimum tailwater. Ice if applicable.

Case III: Induced Surcharge Condition. Pool elevation at top of partially opened spillway gate. (This load case is not applicable to because the spillway at Colebrook River Lake is not gated.)

Case IV: Flood Discharge Condition. Reservoir at maximum flood pool (surcharge) elevation and height over spillway crest. Tailwater pressure at about 0.6 of flood surcharge height, except that full value is used for computation of the uplift. Tailwater weight acts on downside of spillway. No ice pressure.

Case V: Construction Condition with Earthquake. Earthquake acceleration in a downstream direction. No water in reservoir. No wind load. No tailwater.

Case VI: Normal Operating Condition with Earthquake. Earthquake acceleration in an upstream direction. Reservoir at spillway crest. Minimum tailwater. No ice pressure.

B. OUTLET WORKS: From EM 1110-2-2400 Structural Design of Spillways and Outlet Works, 2 Nov. 1964 (Para 3-07 c. Stability of Gate Structure at Upstream End)

(NOTE: For this analysis of the tower, Cases II, III and IV, were combined due to the "normal" pool stage being at spillway crest. Cases V and VI were also combined due to balanced gate operating procedures during flood events.)

Case I:

- (a) Reservoir empty.
- (b) Dead load of structure.
- (c) Wind load in direction to produce most severe foundation pressures.

Case II:

- (a) Gate Structure operating at maximum operating pool with all gates open.
- (b) Dead load of structure.
- (c) Reservoir at full flood control storage pool. (Top of spillway crest)
- (d) Earth loads (if any)
- (e) Ice pressure, if applicable.
- (f) 100 percent uplift on base over 100 percent of area.
- (g) Water surface inside structure drawn down to hydraulic gradient with all gates open.

Case III:

- (a) Gate Structure operating at maximum operating pool with one outside gate closed others open.
- (b) Dead load of structure.
- (c) Reservoir at full flood control storage pool. (Top of spillway crest).
- (d) Earth loads (if any)
- (e) Ice pressure, if applicable.
- (f) 100 percent uplift on base over 100 percent of area.
- (g) Service or emergency gate in one outside passage closed(Whichever gives more severe condition).
- (h) Well full of water upstream from closed gate.
- (i) Other gates open with water drawn down to hydraulic gradient in remainder of structure.

Case IV:

- (a) Gate Structure with all gates closed.
- (b) No Flow in conduits.
- (c) Dead load of structure.
- (d) Reservoir at full flood control storage pool. (Top of spillway crest).
- (e) Earth loads (if any)
- (f) Ice pressure, if applicable.

- (g) 100 percent uplift on base over 100 percent of area.
- (h) One Gate (service or emergency) closed in each passage in combination causing most severe condition. Conduit empty down stream.
- (j) Structure full of water upstream from closed gates.

Case V:

- (a) Reservoir raised to spillway design flood level for which ever of preceding Case II, III, IV is most critical.
- (b) No ice pressure.

Case VI:

- (a) Bulkheads in place.
- (b) Dead load of structure.
- (c) Reservoir at maximum pool bulkheads are used (Design flood pool.)
- (d) Earth loads (if any).
- (e) Ice pressure, if applicable.
- (f) All bulkheads in place no water in structure.

Case IA, IIA, IIIA or IVA:

Same as Case I, II, III, or IV with earthquake load added if applicable, except that earthquake is substituted for wind in Case IA and for ice in other cases.

C. RETAINING WALLS: From EM 1110-2-2502, Retaining & Floodwalls, 29 Sept. 1989

Case R1 - Usual Loading: Backfill in place to final elevation. Surcharge is not applicable for these structures. The backfill is dry or moist or partially saturated, as the case may be; existing lateral and uplift pressures due to water are applied. Uplift pressure due to water surface elevation above the bottom of the wall analysis clip plane.

Case R2 - Unusual Loading: The same as Case R1 except for the water table level in the backfill rises for a short duration, or another type of loading of short duration is applied; e.g., high wind loads, equipment surcharge during construction. Flood discharge conditions exist.

Case R-3 - Earthquake Loading: This is the same as Case R1 with the addition of earthquake-induced lateral and vertical loads. The uplift is the same as for Case R1.

D. GENERAL HYDRAULIC 3D GRAVITY STRUCTURES (3DSAD): From the Criteria For 3DSAD a Computer Program for Stability Analysis and/or Design of Three Dimensional Gravity Structures, June 1978.

NOTE: Load categories, (1 - Long term, 2 - Short term, 3 - Instantaneous)

Case I: Construction Condition (Category 1) - Dead load of structure with addition of active earth backfill or silt, and wind load in most critical direction.

Case II: Normal Operating Condition. (Category 1, equivalent to R-1)
Dead load of structure: active earth backfill or silt; headwater at spillway crest; minimum tailwater; ice pressure if any.

Case III: Induced Surcharge Condition. (Category 2) This load case is not applicable because the spillway at Colebrook River Lake is not gated.

Case IV: Spillway Design Flood Discharge Condition. (Category 2, equivalent to R-2) Dead load of structure with addition of active earth backfill or silt; headwater at spillway design flood elevation; all gates open; tailwater at sixty percent of full overflow value, with full uplift.

Case V: Construction Condition with Earthquake. (Category 3) Earthquake acceleration in a downstream direction. No water in reservoir. No wind load. No tailwater.

Case VI: Normal Operating Condition with Earthquake. (Category 3, equivalent to R-1) Normal Operating condition with additional lateral loads due to earthquake in most critical direction. No ice load.

1.9 Field Observation:

Periodic Inspection No. 4 was conducted 13, July 1989, no deficiencies indicating stability problems were found. The general condition of concrete in all structures in the study are normal, showing expected deterioration based on age and climate.

1.10 Discussion of Foundations and Foundation Parameters:

The "state of the art" in geology and foundations has changed since Colebrook River Lake design in 1960. When interpreting the structural analysis results, it is essential to understand the accuracy limitation due to the assumptions made for foundation parameters. The foundation material parameters, are based on review of the following:

1. Record drawings of borings locations and logs.
2. Design Memorandum No. 3 Site Geology
3. EM 1110-2-2200 Gravity Dam Design, 25 Sept. 1958 (with change No. 2, 23 Nov. 1960)
4. ETL 1110-2-184 Gravity Dam Design - Stability, 25 February 1974 (rescinded), Table I - GRANITIC VARIETIES, (used for pegmatite values only)
5. American Concrete Institute, Building Code Requirements for Reinforced Concrete (ACI 318-83), section 17.5 Horizontal shear strength.
6. NAVFAC DM-7.2 Foundations and Earth Structures, Design Manual 7.2, May 1982, Table 1 - Presumptive Values of Allowable Bearing Pressures

Important borings were FD08 at the intake tower, FD15 at the bridge pier, FD47 at spillway and FD6 at the outlet. Sliding and bearing calculations assume the failure plane at the concrete/rock interface.

PART 2: RESULTS OF THE ANALYSIS

2.1 Spillway Weir: The actual as-built spillway weir meets current stability criteria.

Note that there is a difference in the weir section between the Design Memorandum #10, and the as-built plans. Disregard design memorandum calculations and diagram labeled Case III for Maximum Design Flood. The Design Memorandum shows a reduced base with the force resultant outside the middle third of the base (the kern). This violated overturning criteria outline in EM 1110-2-2200 Gravity Dam Design. The weir design was modified sometime after design memorandum submission, with changes to the base elevation and inclusion of a grout cutoff under the weir centerline, the as-built section is stable in overturning.

2.2 West Spillway Retaining Wall: The wall meets all current stability criteria.

Only the top six feet of the west spillway retaining wall acts as a gravity retaining wall, and this section was analyzed using 3DSAD. It was modeled as a non-overflow monolith. The geometry is exact, the depth of the monolith is 20', based on the contraction joint spacing, and the assumed sliding failure plane (clip plane) is the construction joint at EL-785.0 NGVD.

2.3 Service Bridge Pier: The bridge pier meets all current stability criteria.

The bridge pier was analyzed as a gravity structure using 3DSAD. The bridge pier geometry was modeled in three sections, two overflow and one non-overflow. Batter slope on the long axis was not able to be modeled due to the limitation of the program CDAMS module, but differences are negligible. The pier is founded on rock, and any lateral bracing by the bridge deck was neglected. Bridge bearing reactions were applied as a concentric 340 kips point load. For Case VI (Normal with earthquake), the headwater was the long term pool at 715' NGVD. The results show a reduced effective base for Case V and VI earthquake loading, but with the resultant well within the base. Sliding and bearing were well within limits.

2.4 Service Bridge Abutment: The bridge abutment meets all current stability criteria.

The bridge abutment was analyzed as a 3-D gravity structure using 3DSAD, the bridge abutment geometry was computer modeled as a three section monolith, two overflow and one non-overflow section. Any differences in geometry due to limitations of the CDAMS module are negligible.

The abutment is cast against rock, in a box-like cut of sound pegmatite at an exposed spur of bedrock at the dam embankment. Cut rock does not exert lateral soil pressure. Soil loading would only occur if the entire rock driving wedge developed a failure plane.

In the flood case R-2 the abutment has a slightly reduced effective base (99%) as the resultant falls outside the 3-D kern. The percent of effective base is well above the required 50% (on rock). Sliding and bearing were all within limits.

2.5 Intake Tower with Crane: The Intake tower meets all current stability criteria.

Final design of the Colebrook River Lake Tower is slightly different than the typical tower outline in para 3-07 Stability of Gate Structure Upstream, EM 1110-2-2400 Structural Design of Spillways and Outlet Works, 2 November 1964. The Colebrook River Lake Tower is a dry-well type and both the intake and outlet are submerged. The tower was analyzed with manual calculations for Case I (Construction), a combined load Case II, III, IV (Normal), and a combined load Case V, VI (Flood). Differences from the design memorandum calculations include addition of the force from the new 22 ton crane, an increase of the seismic acceleration coefficient to 0.1 g and inclusion of the force from the concrete stoplog structure. The rational to combine load cases was a submerged conduit combined with standard operating procedures which preclude non-symmetric gate operation during critical events. These make the differences between analyses load cases very minor.

During earthquake the resultants of the tower falls outside 3-D kern, but within the base meeting overturning criteria for instantaneous loading. The reduced effective base is 61%. Sliding and bearing meet criteria for instantaneous loading.

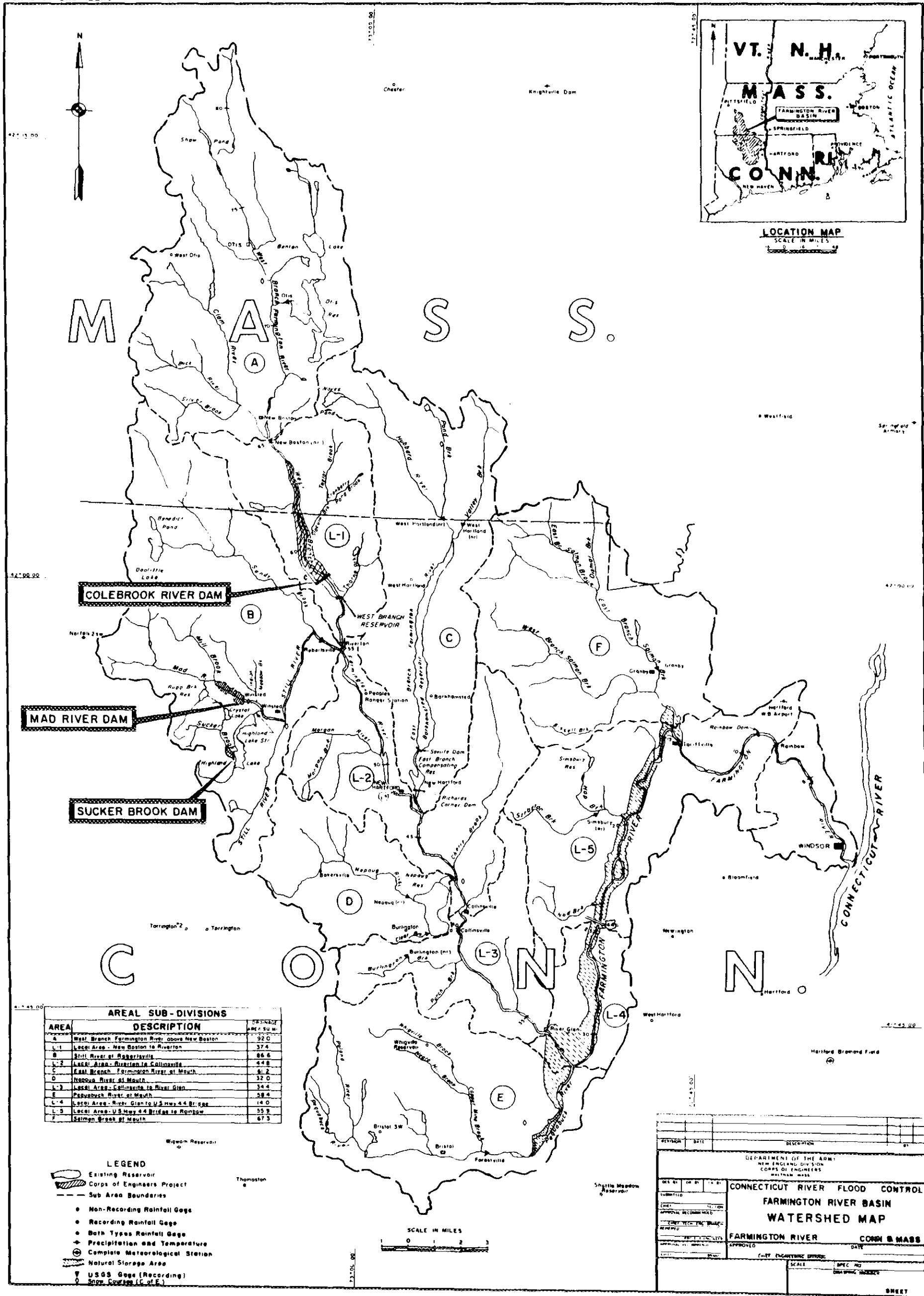
Part 3 - Colebrook River Lake Drawings

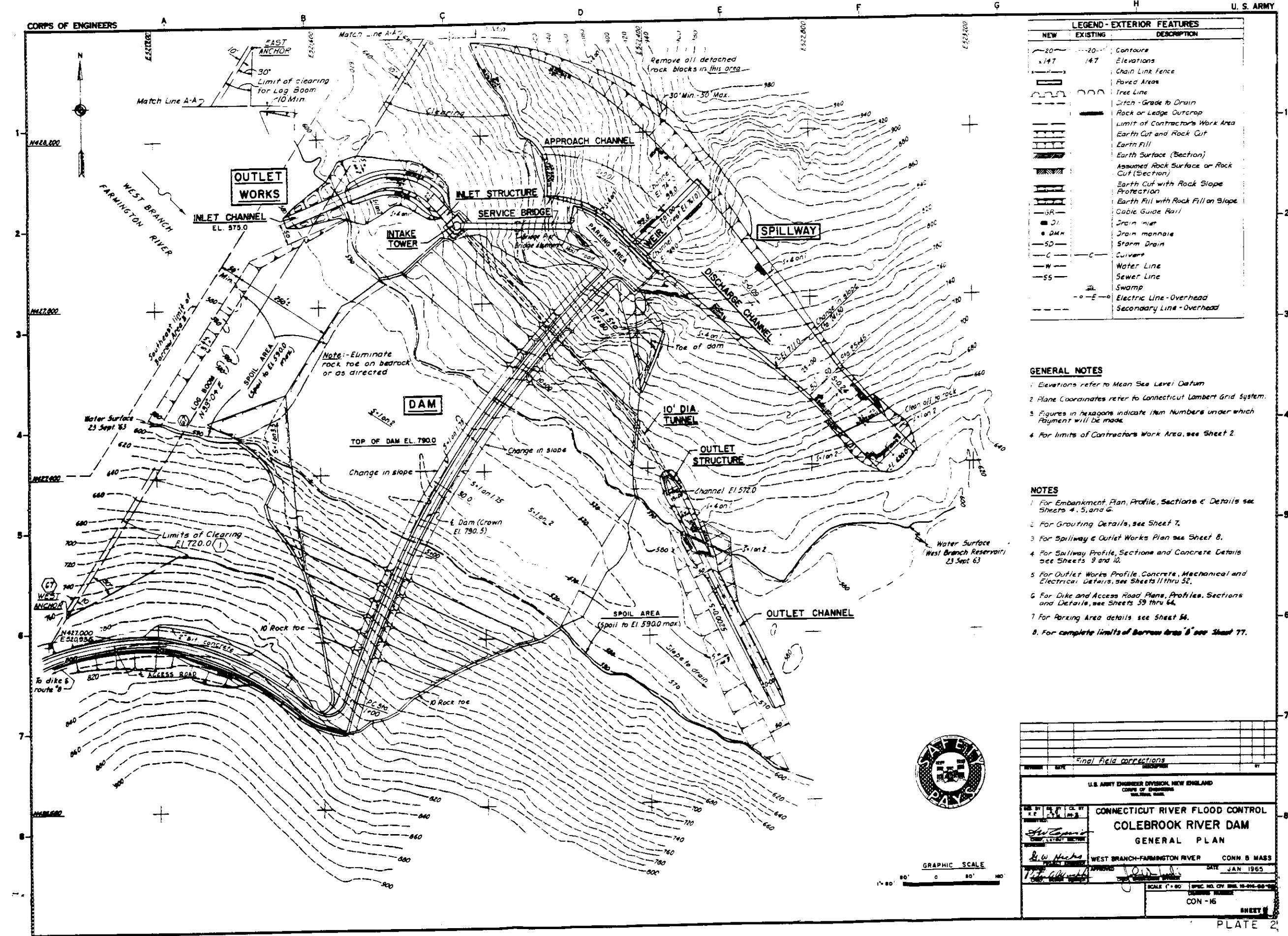
INDEX OF SELECTED RECORD DRAWINGS

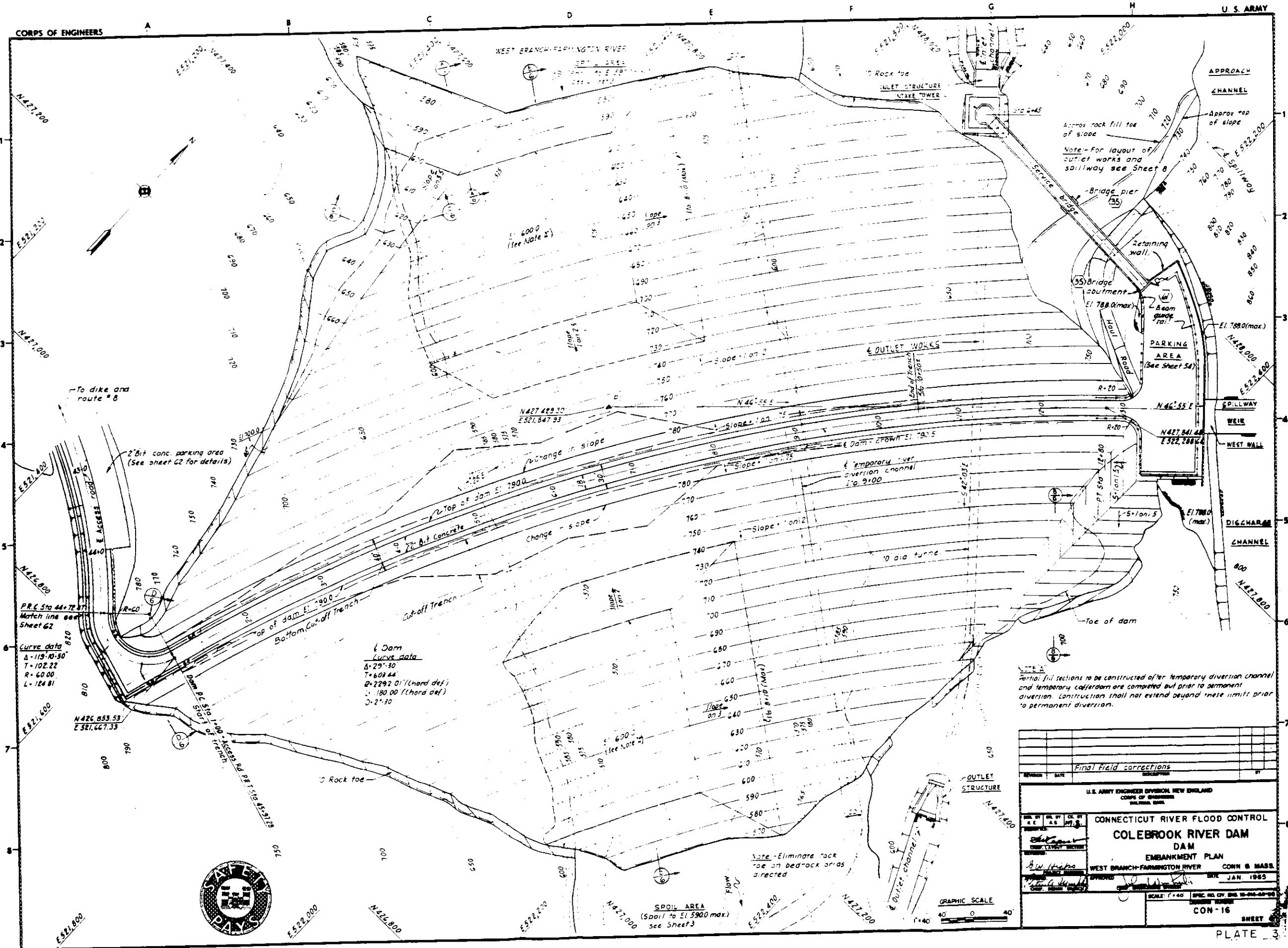
<u>Plate No.</u>	<u>Title</u>	<u>Dwg. No.</u>
1	Watershed Map	
2	General Plan	Con-16, Sh 3
3	Dam - Embankment Plan	Con-16, Sh 4
4	Dam - Typical Embankment Sections	Con-16, Sh 5
5	Dam - Embankment Profile and Section	Con-16, Sh 6
6	Dam - Grouting Details	Con-16, Sh 7
7	Outlet Works and Spillway - Plan	Con-16, Sh 8
8	Spillway - Profile and Sections	Con-16, Sh 9
9	Spillway - Concrete Plan and Sections	Con-16, Sh 9
10	Outlet Works - Profile and Sections	Con-16, Sh 10
11	Outlet Works - Inlet Channel - Concrete Details	Con-16, Sh 11
12	Outlet Works - Intake Tower, Sectional Plan at El. 575.0 & Gate Chamber	Con-16, Sh 14
13	Outlet Works - Intake Tower, Sections to El. 657.0	Con-16, Sh 12
14	Transition and Tunnel - Concrete Sections	Con-16, Sh 49
15	Dike and Access Road - Plan and Profile	Con-16, Sh 50
16	Dike Typical Embankment Sections	Con-16, Sh 60
17	Dam - Plan of Foundation Explorations	Con-16, Sh 66
18	Detailed Plan of Explorations - Spillway and Outlet Works	Con-16, Sh 67
19	Dam - Geologic Log Sections	Con-16, Sh 67
20	Outlet Works - Geologic Log Sections	Con-16, Sh 70
21	Plan and Record of Explorations - Dike	Con-16, Sh 76
22	Service Bridge - Structural Details No.1	Con-16, Sh 55
23	Service Bridge - Structural Details No.2	Con-16, Sh 56
24	Service Bridge - Pier and Abutment Details	Con-16, Sh 57
25	Stability Diagrams	DM #10, Pl 10-4
26	Outlet Works - Structural Details	DM #10, Pl 10-3
27	22 Ton Bridge Crane (FERC Design Anal.)	Page 6 of 38

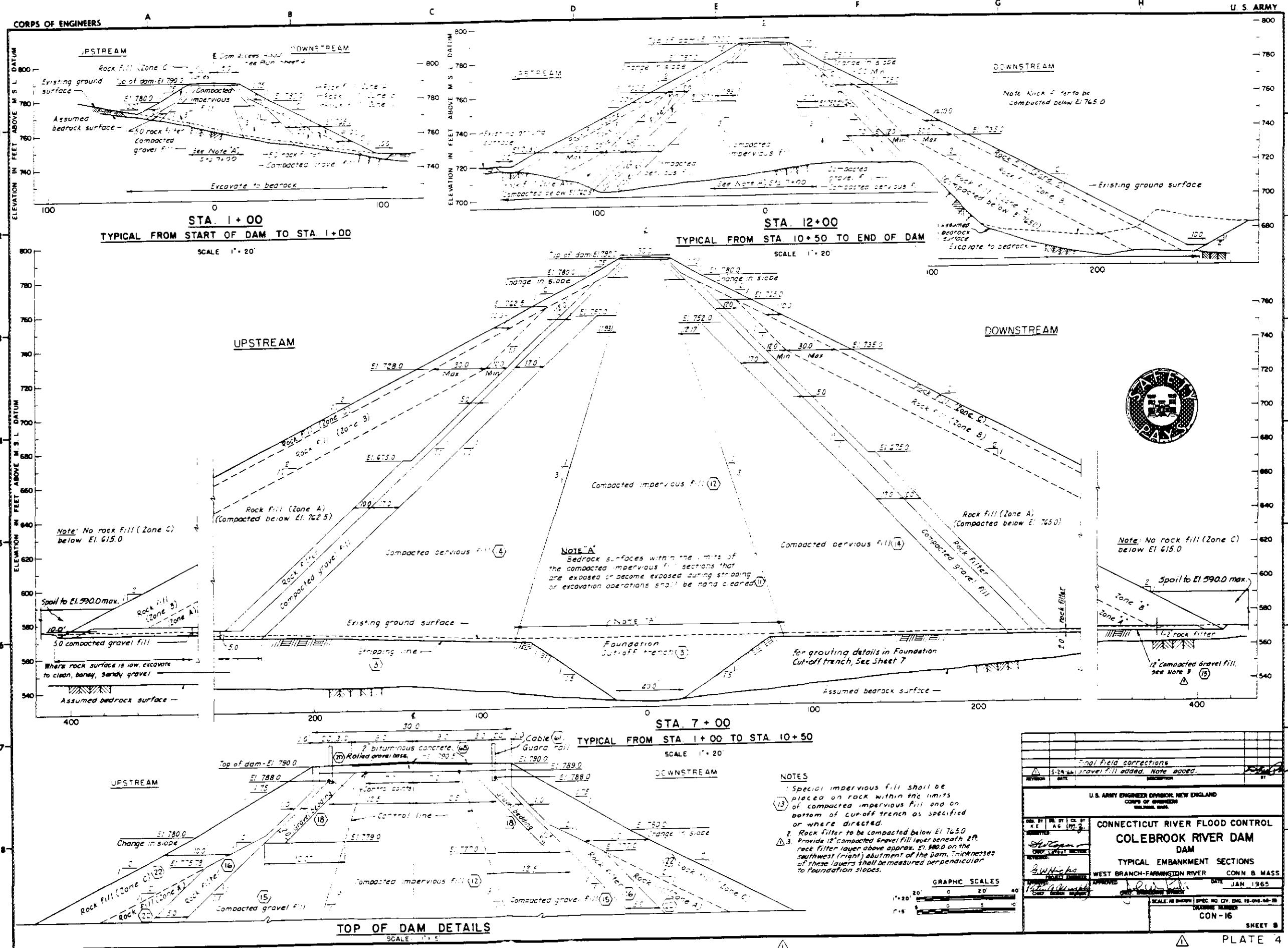
CORPS OF ENGINEERS

U.S. ARMY

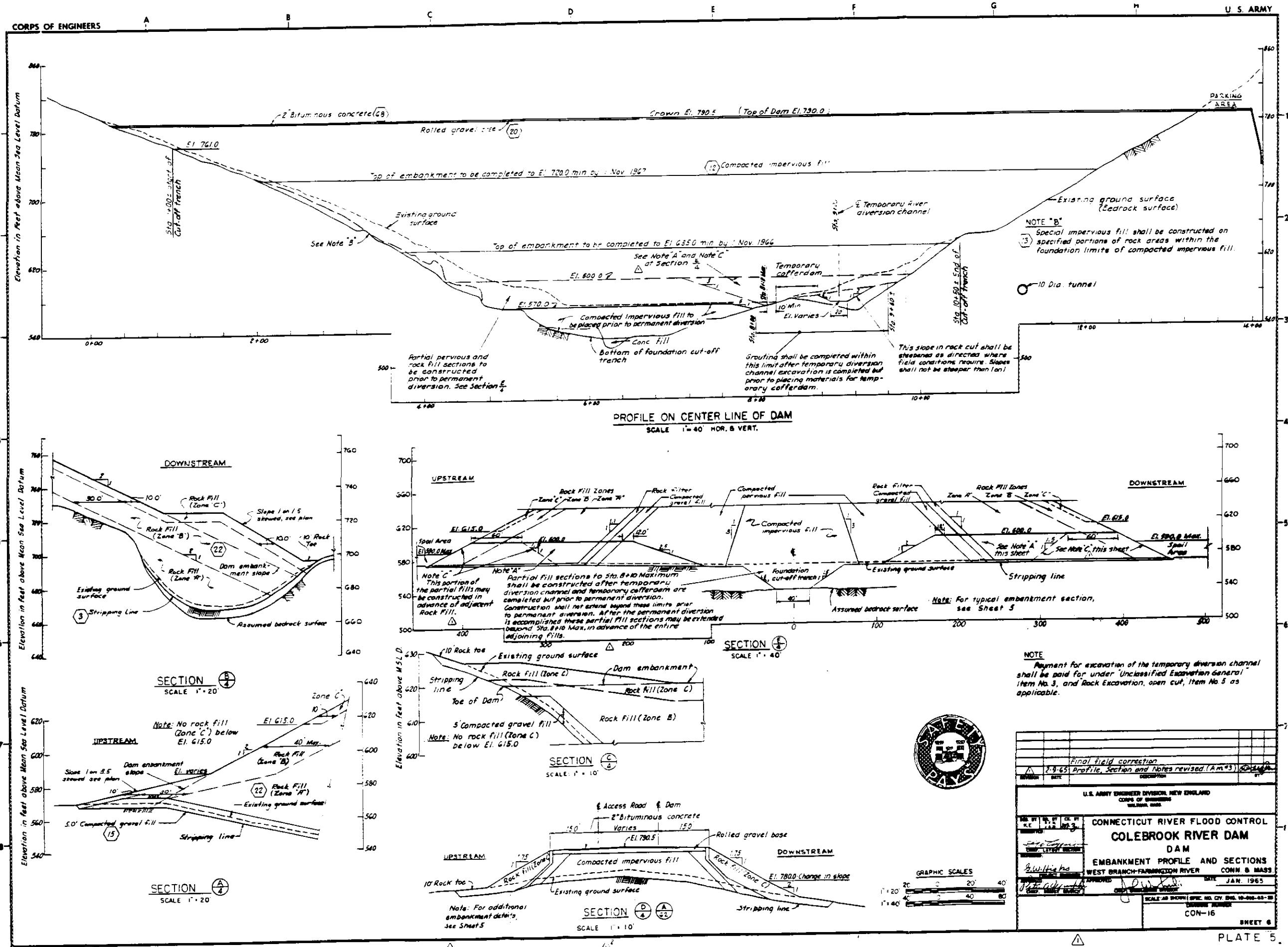


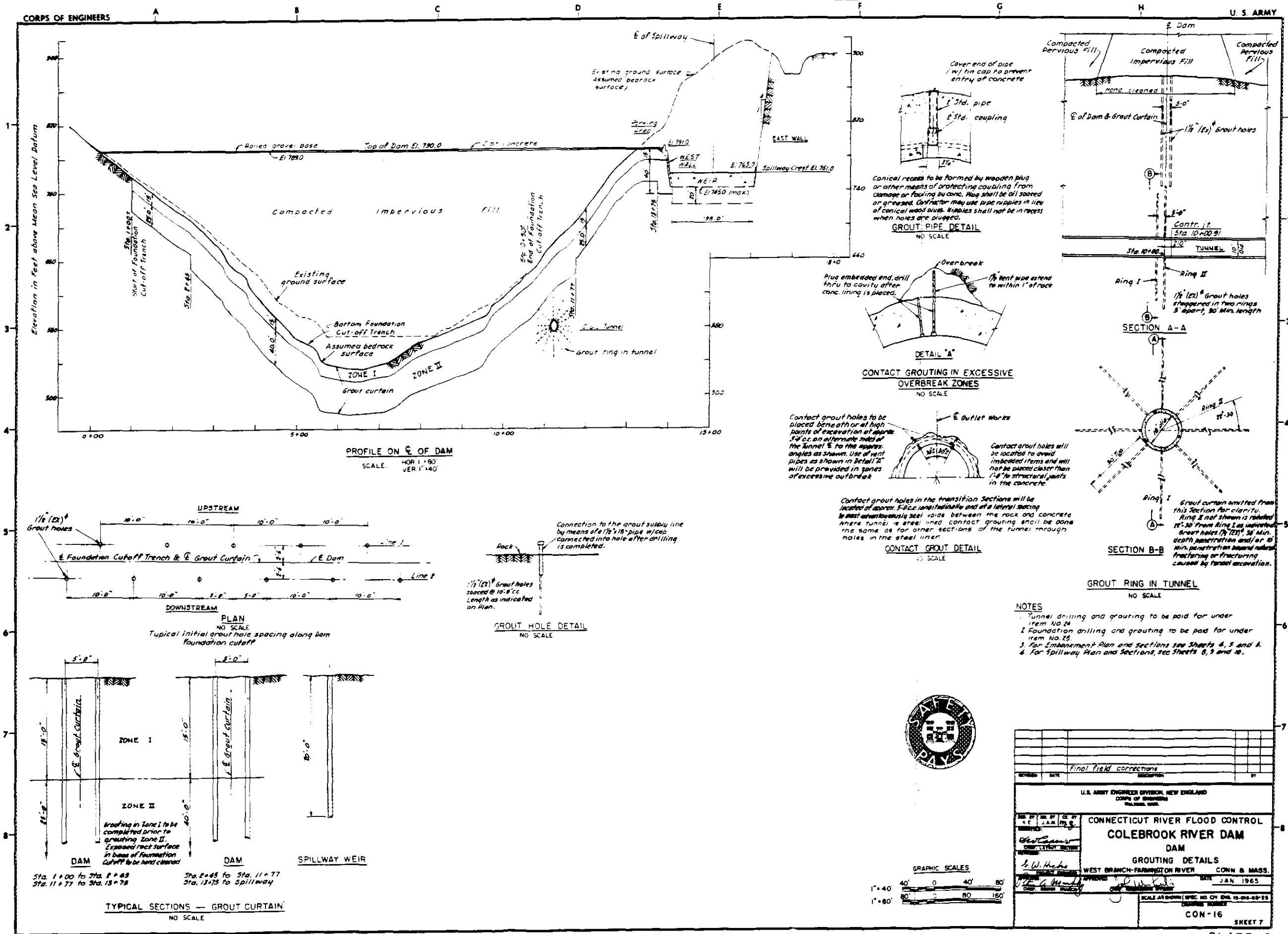


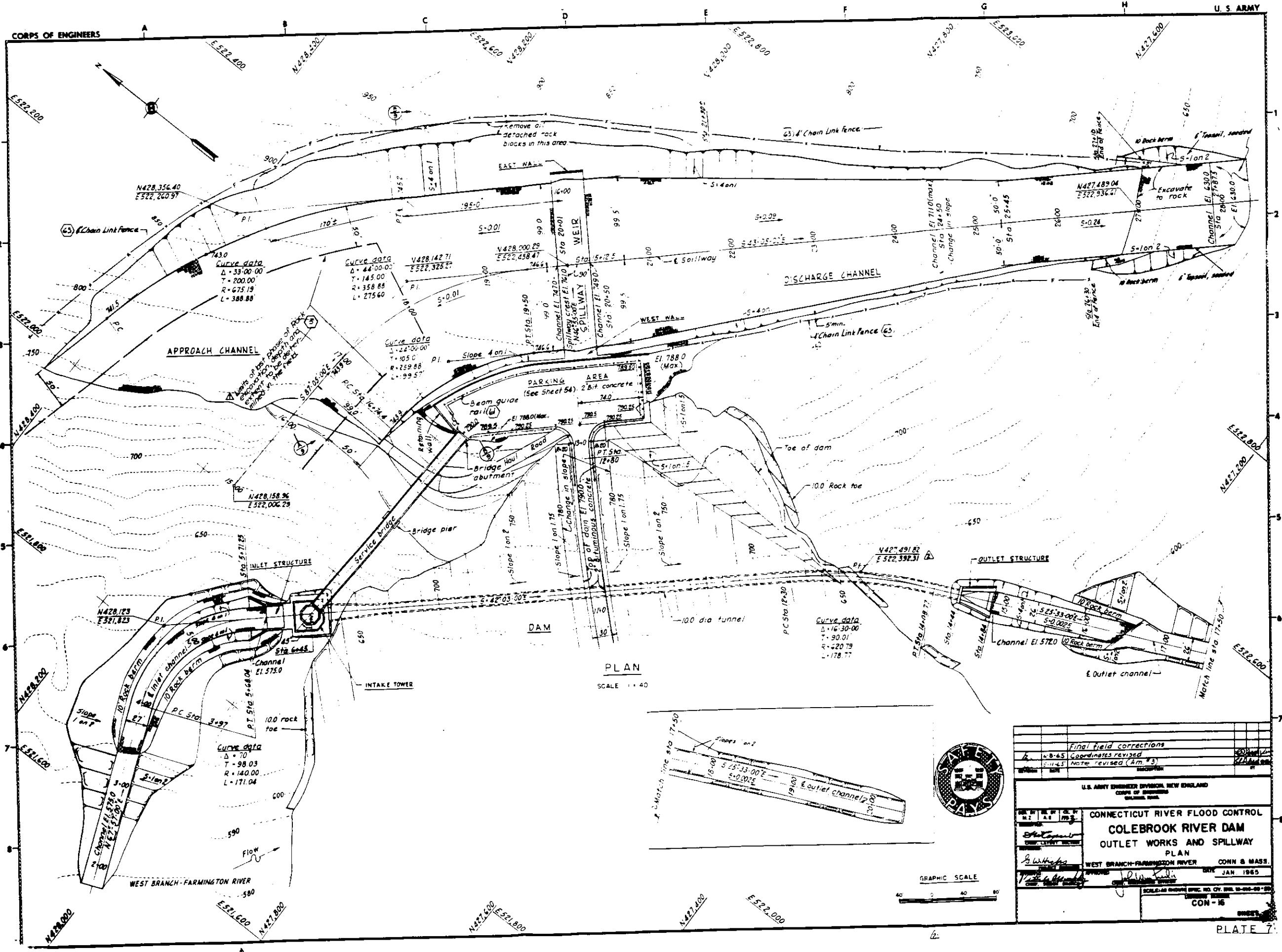




U. S. ARMY







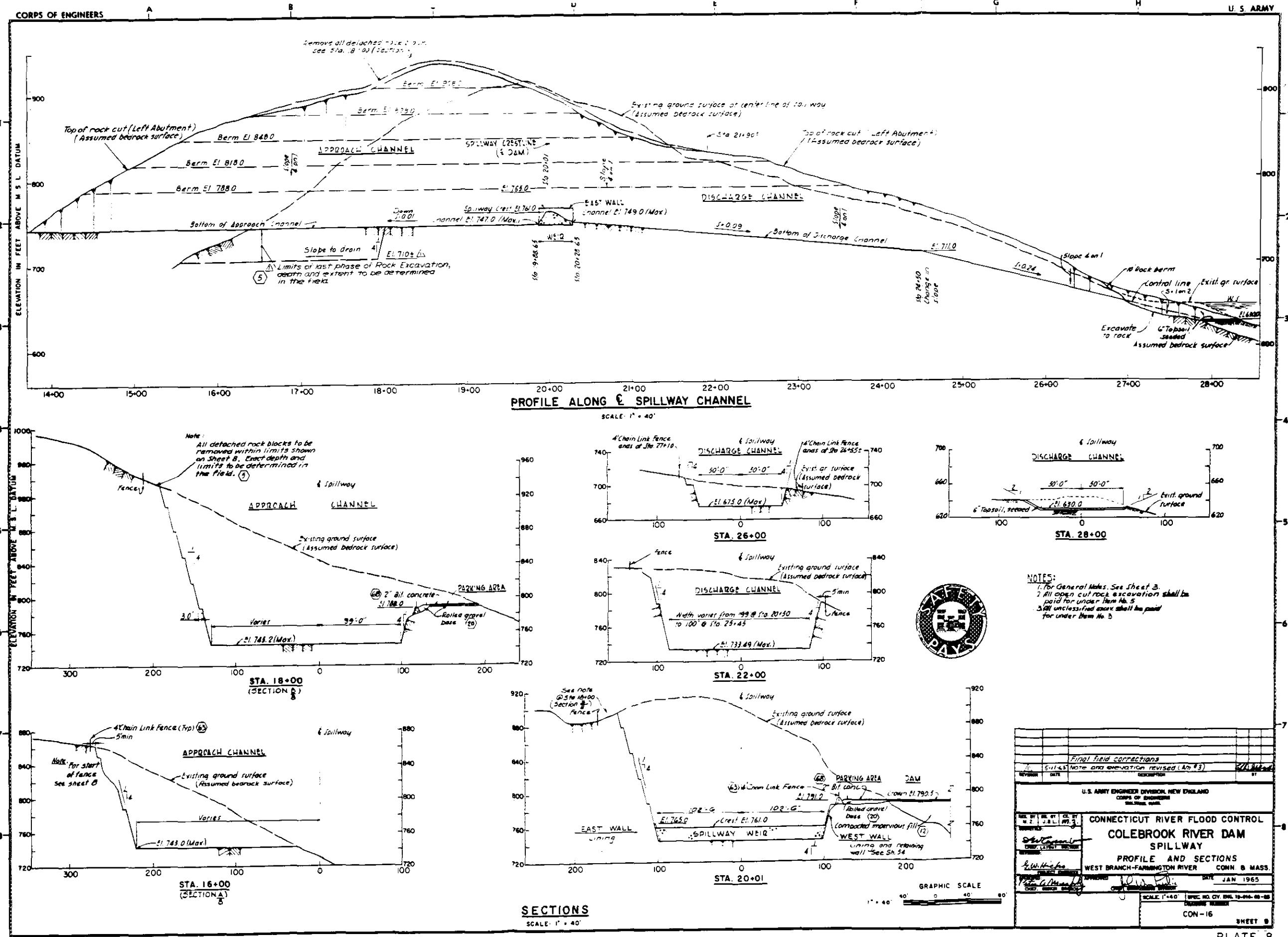
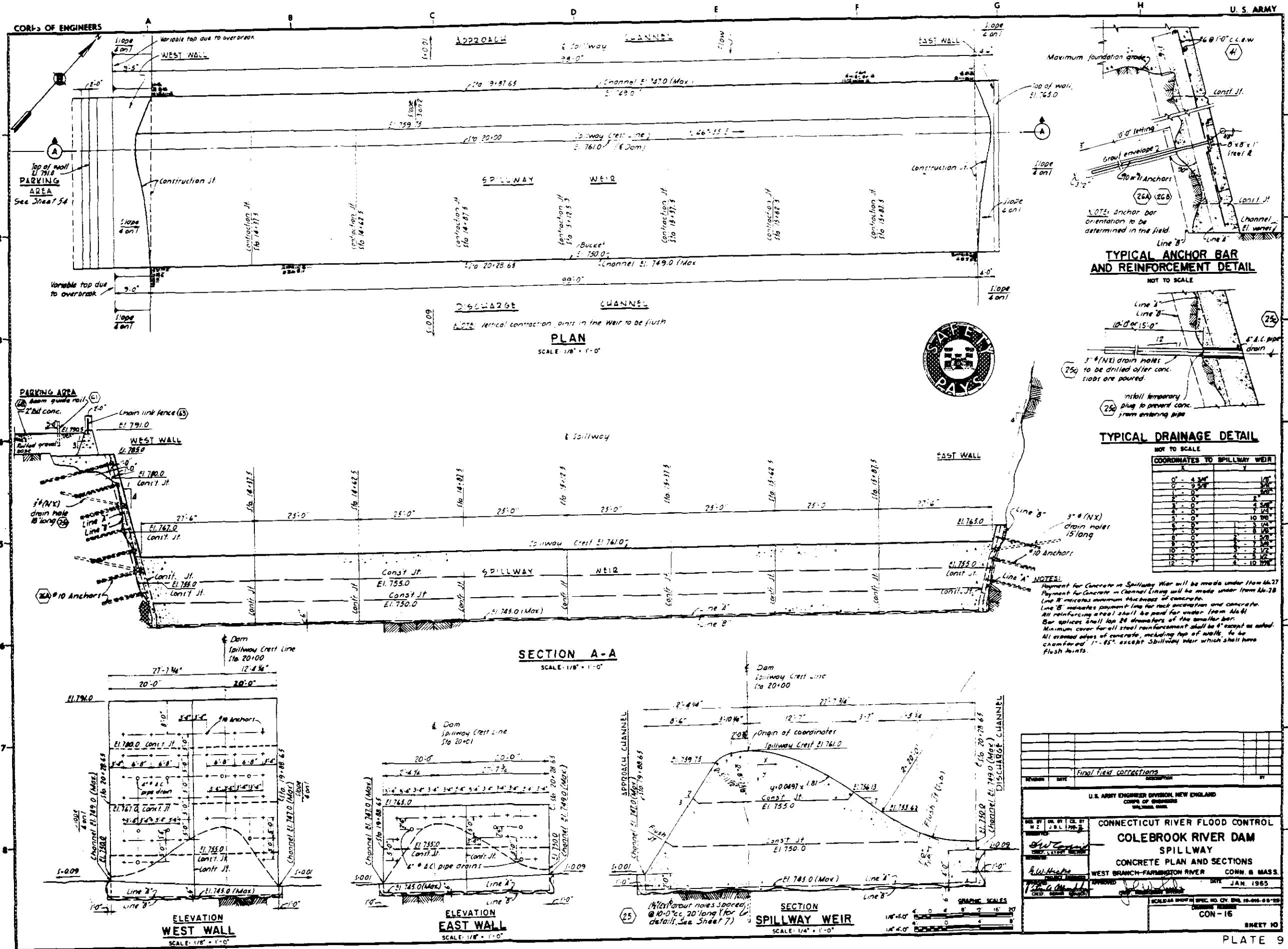
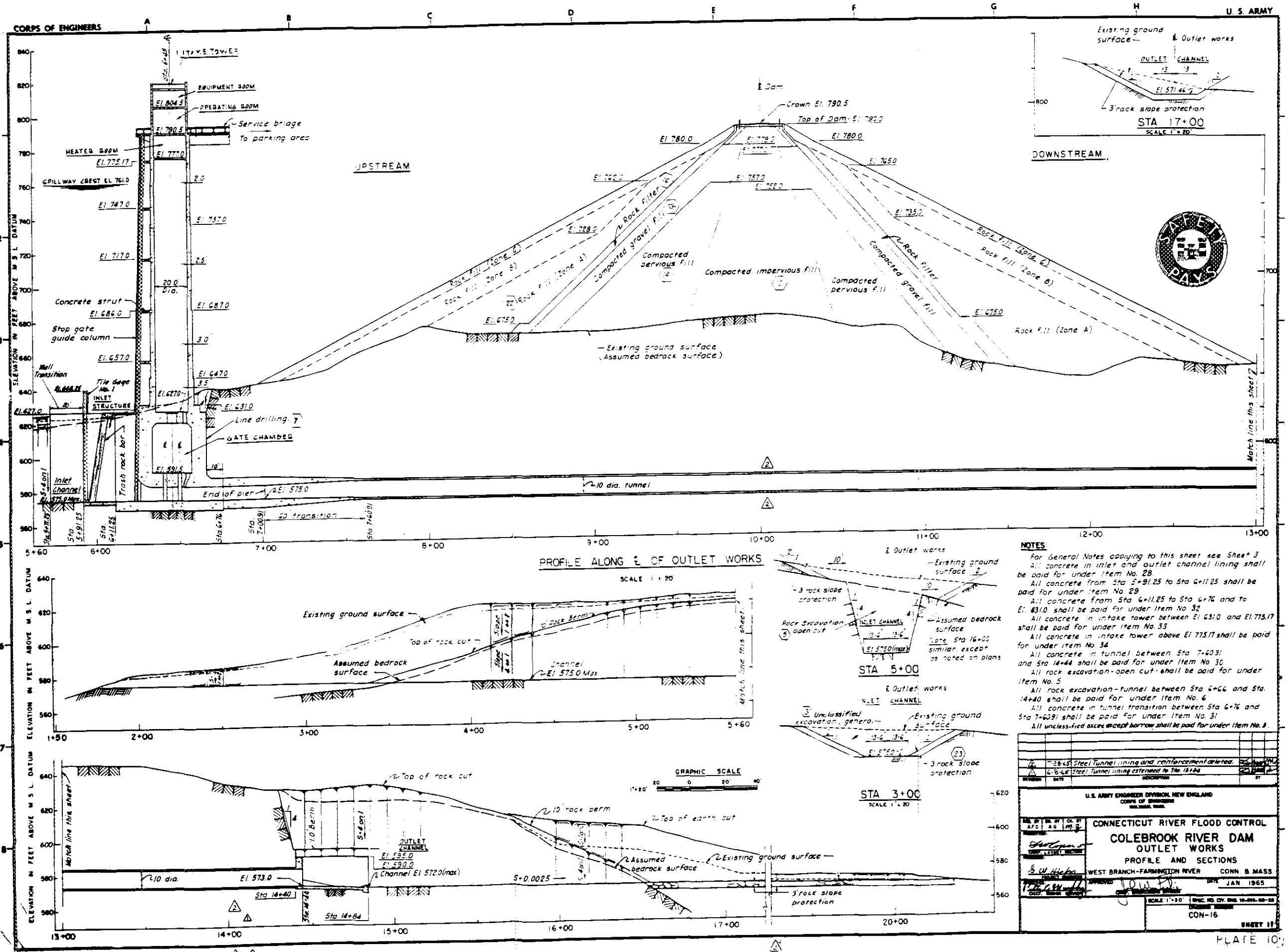
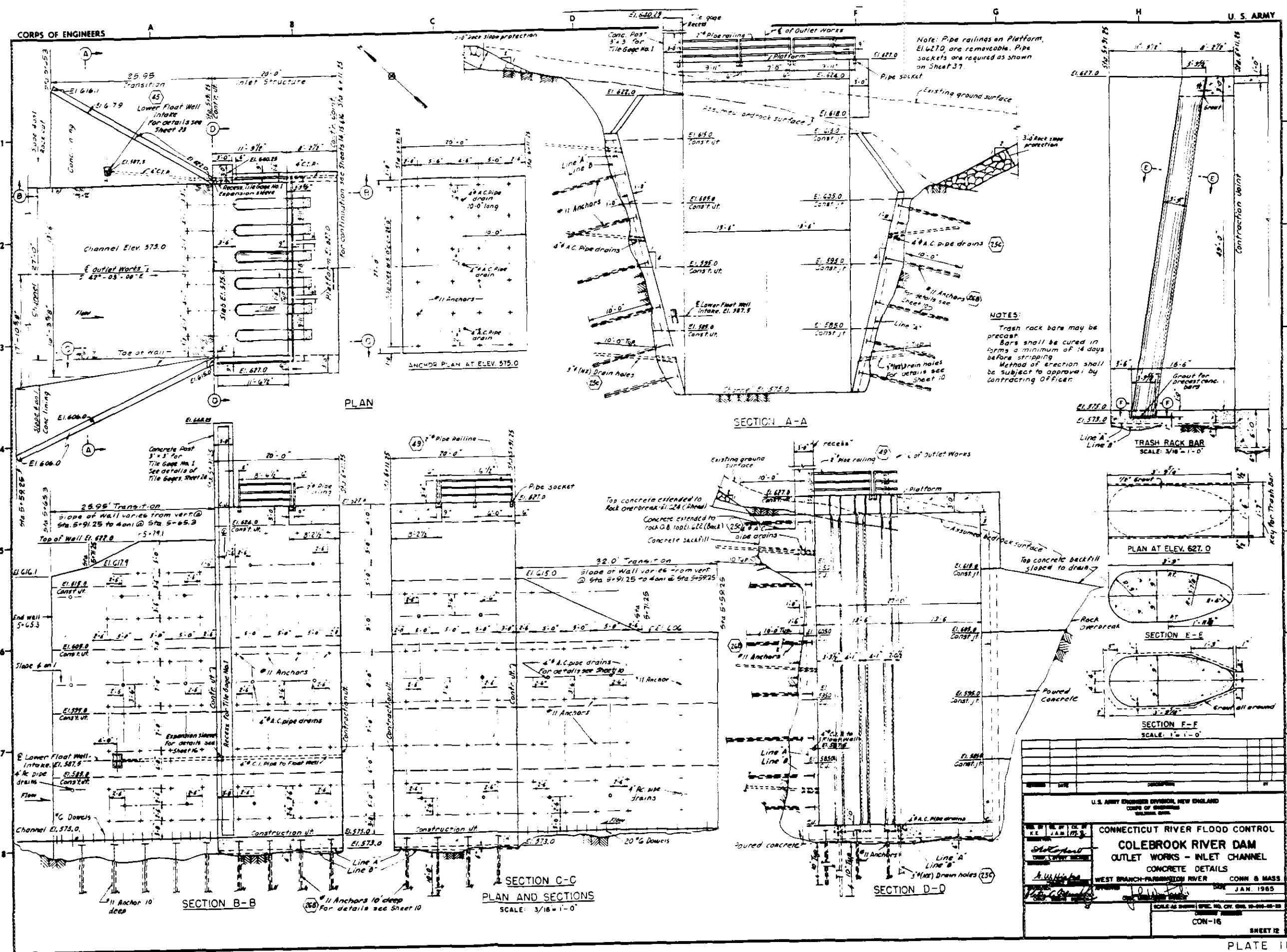


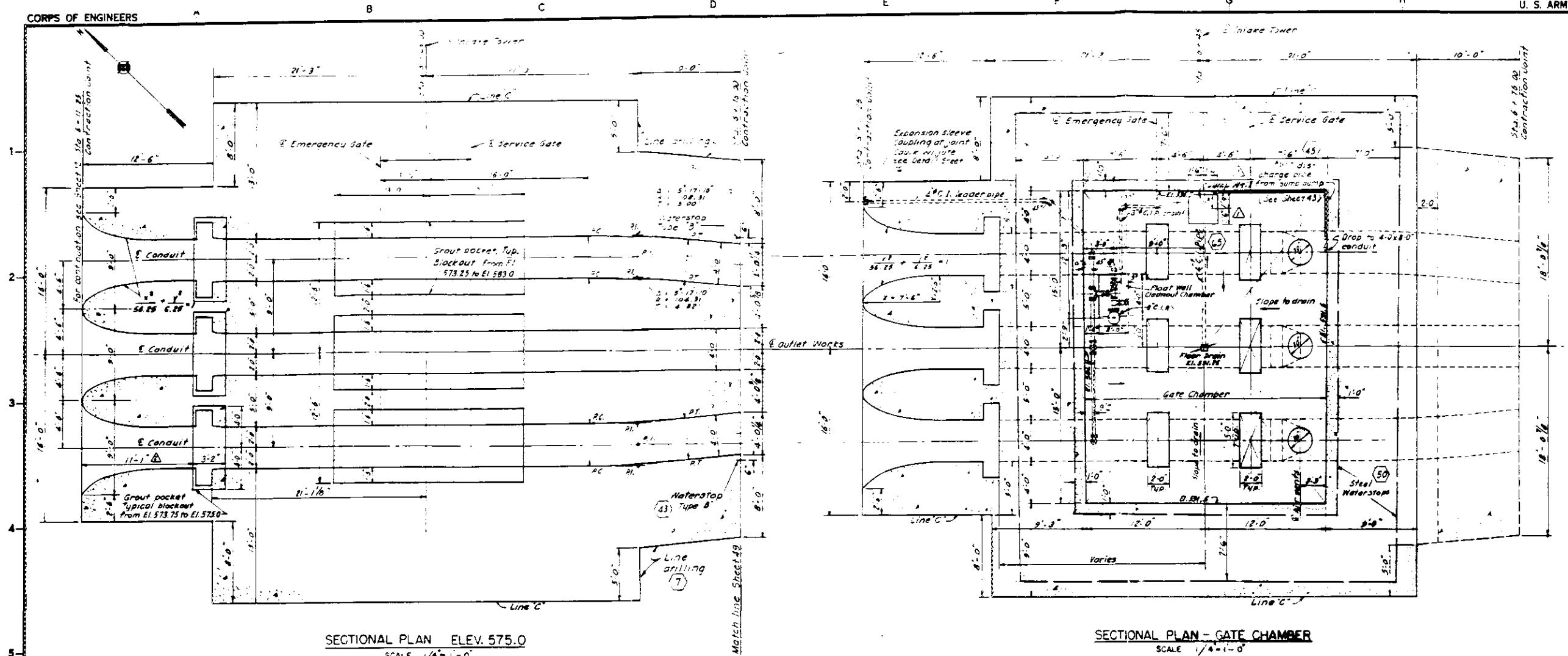
PLATE 8







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NOTE:
For notes applying to this sheet, see Sheets 11
and 16.

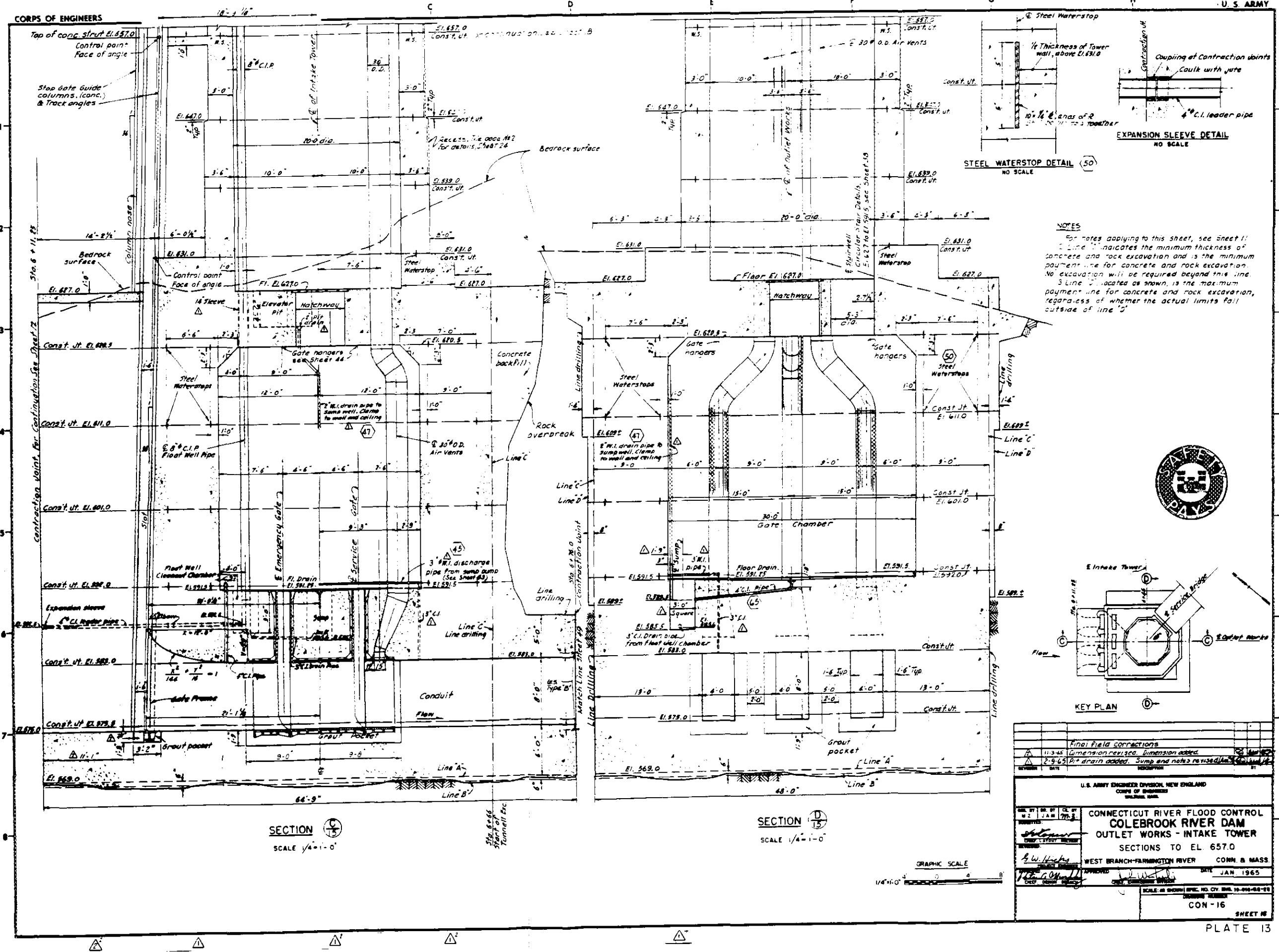


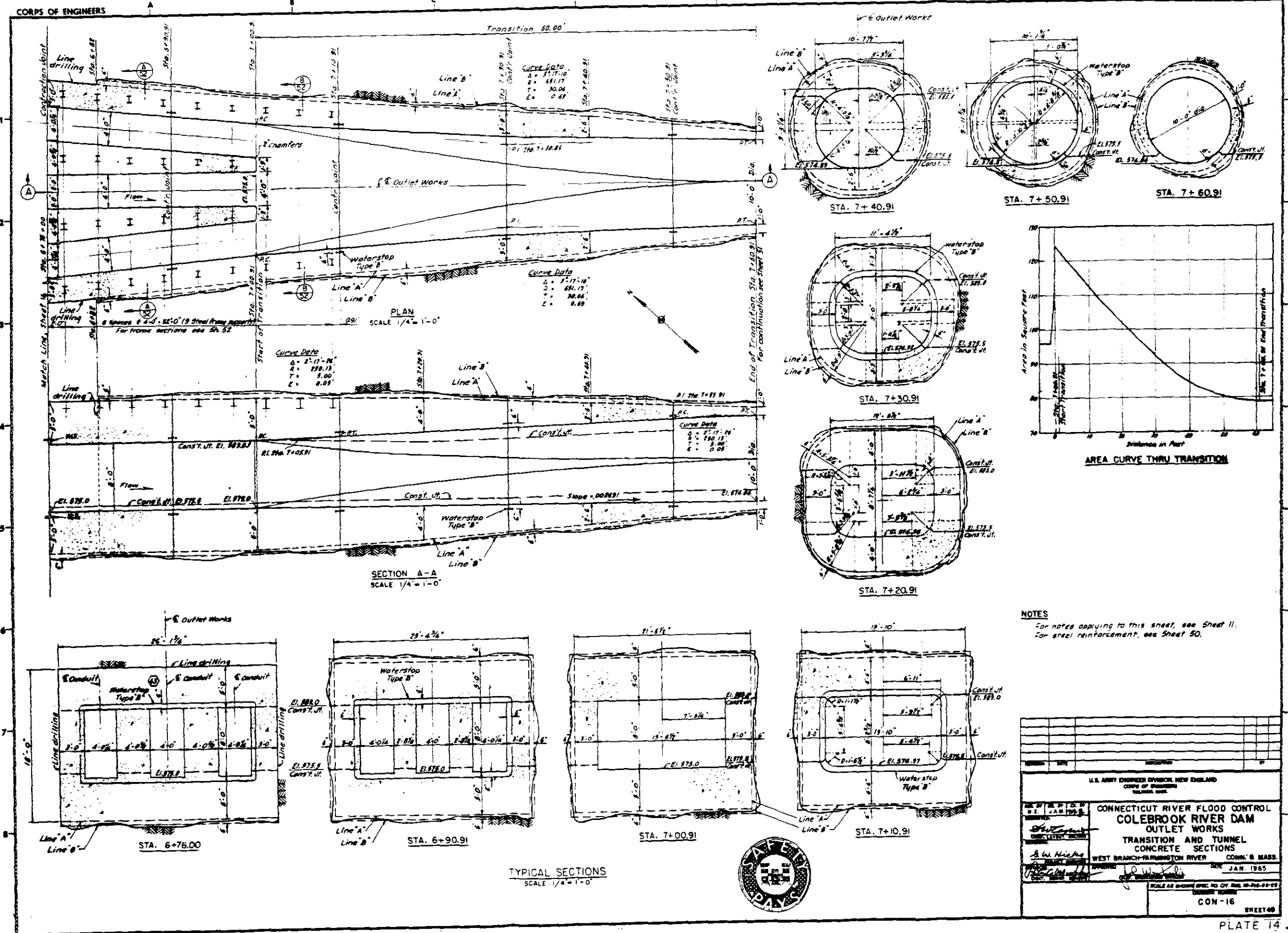
REV'D BY	MR. AT	OK BY	
M.Z.	J.A.M.		
APR 1965			
SUMP HOLLOW RATES REVISED, AM 13)			
U.S. ARMY ENGINEER DIVISION, NEW ENGLAND			
CORPS OF ENGINEERS			
WATKINS, MASS.			
CONNECTICUT RIVER FLOOD CONTROL			
COLEBROOK RIVER DAM			
OUTLET WORKS - INTAKE TOWER			
ELEVATION 5750 B GATE CHAMBER			
WEST BRANCH-FARMINGTON RIVER, CONN. & MASS.			
APPROVED: J.W. Higgins			
DRAWING NUMBER: D-16			
DATE: JAN. 1965			
SCALD AS DRAWN SPEC. NO. CPV. ENG. 10-000-00-00			
DRAWING JOURNAL			
CON-16			

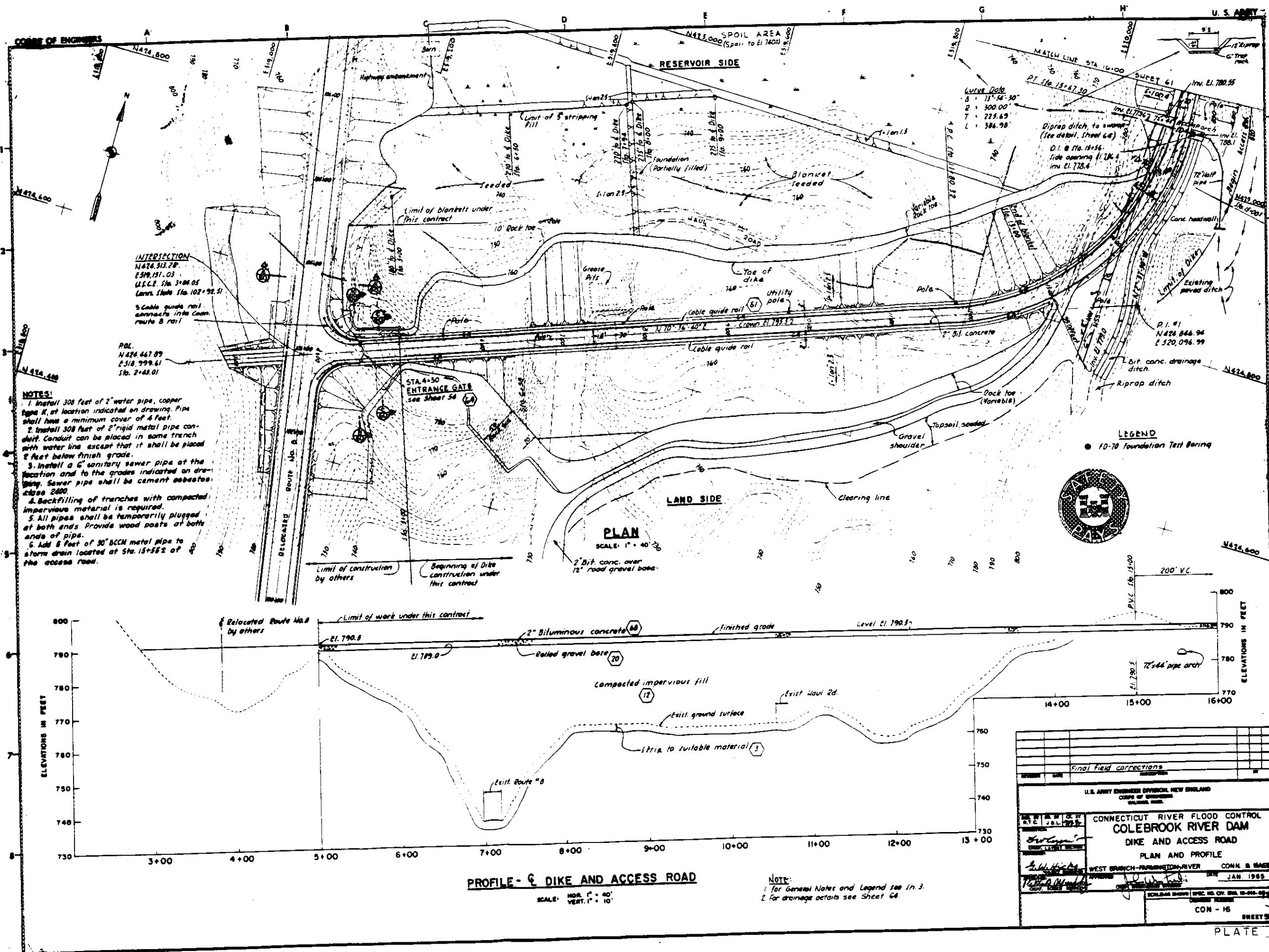
PLATE 12

CORPS OF ENGINEERS

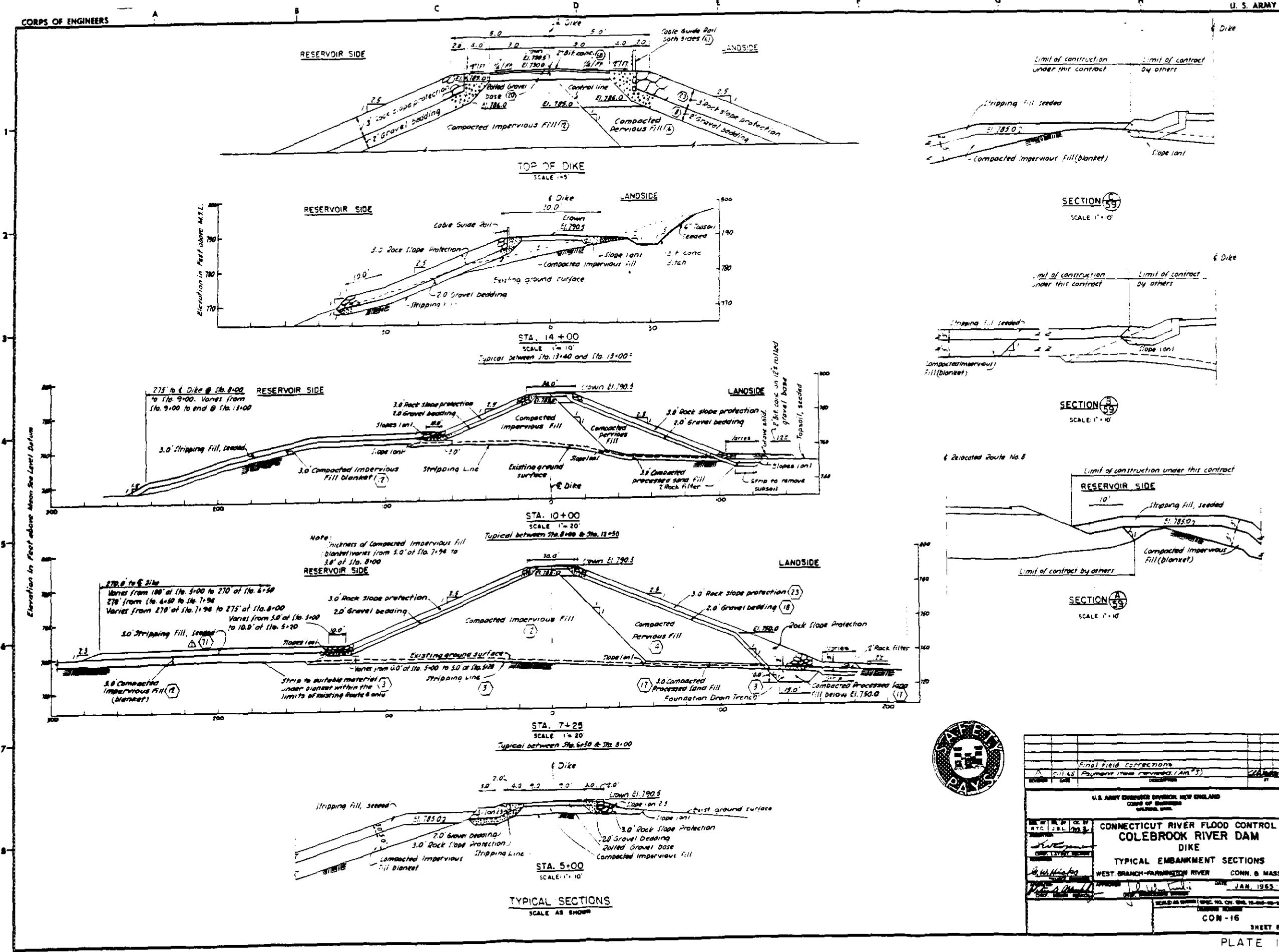
U. S. ARMY

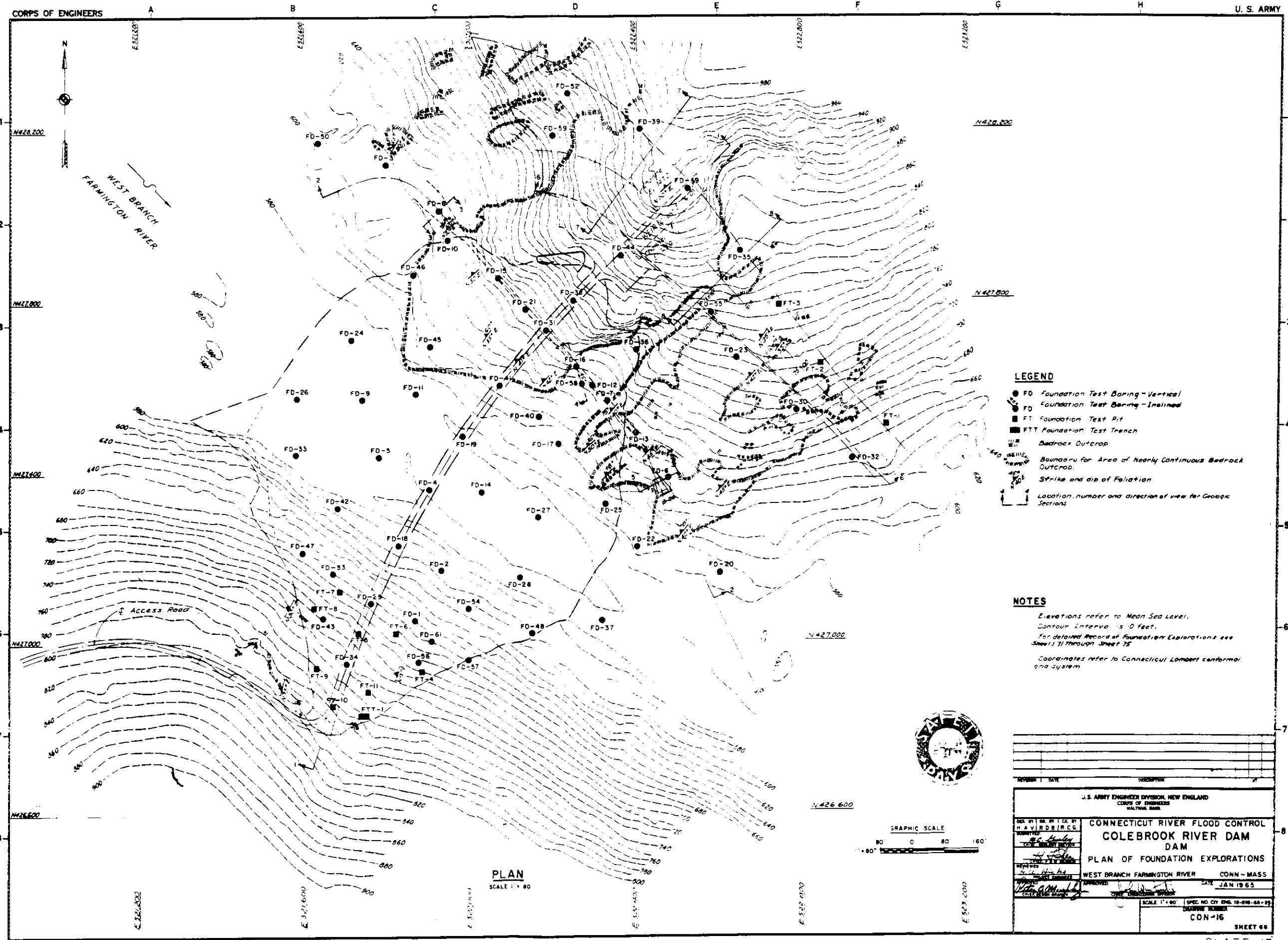


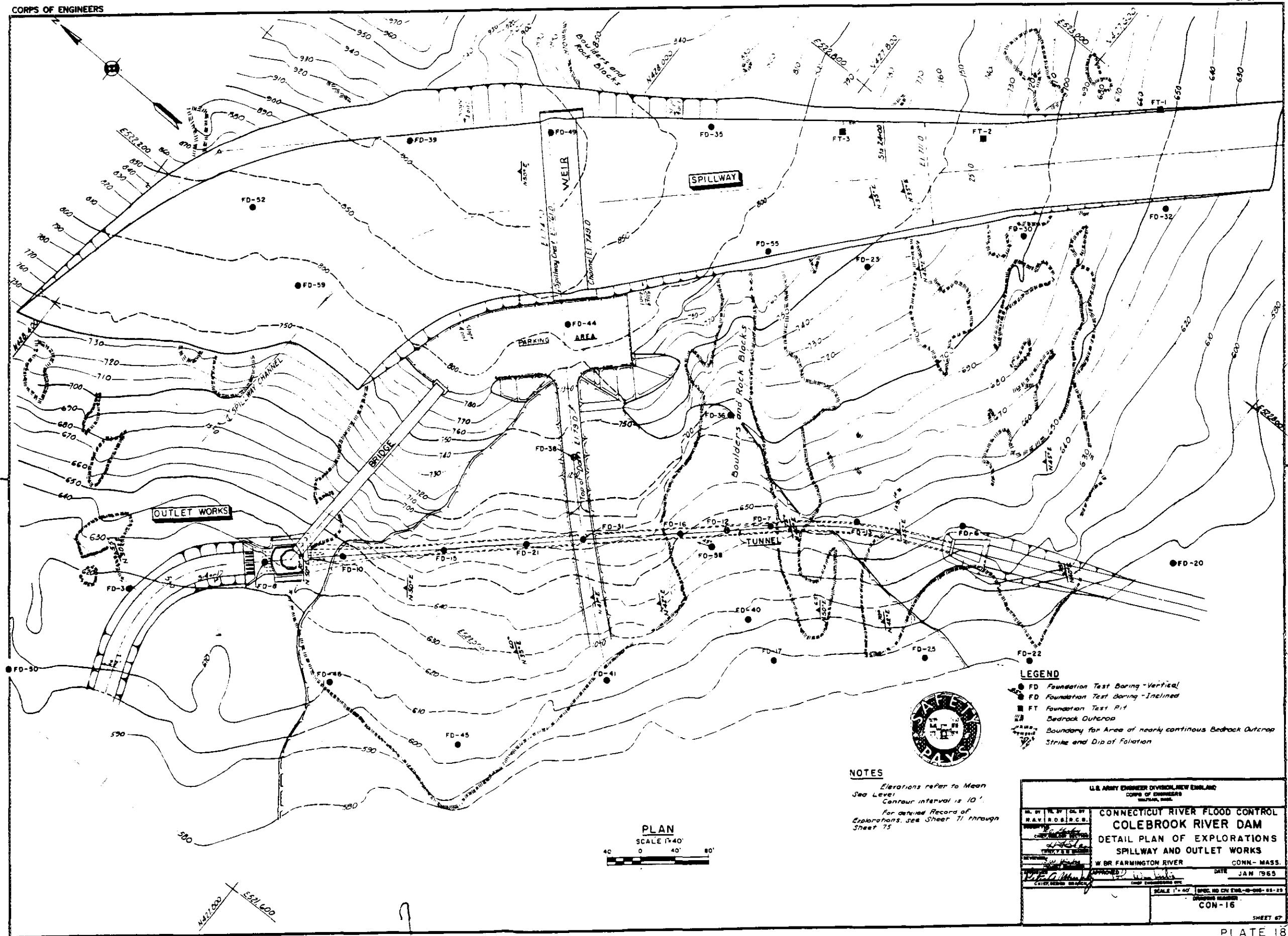




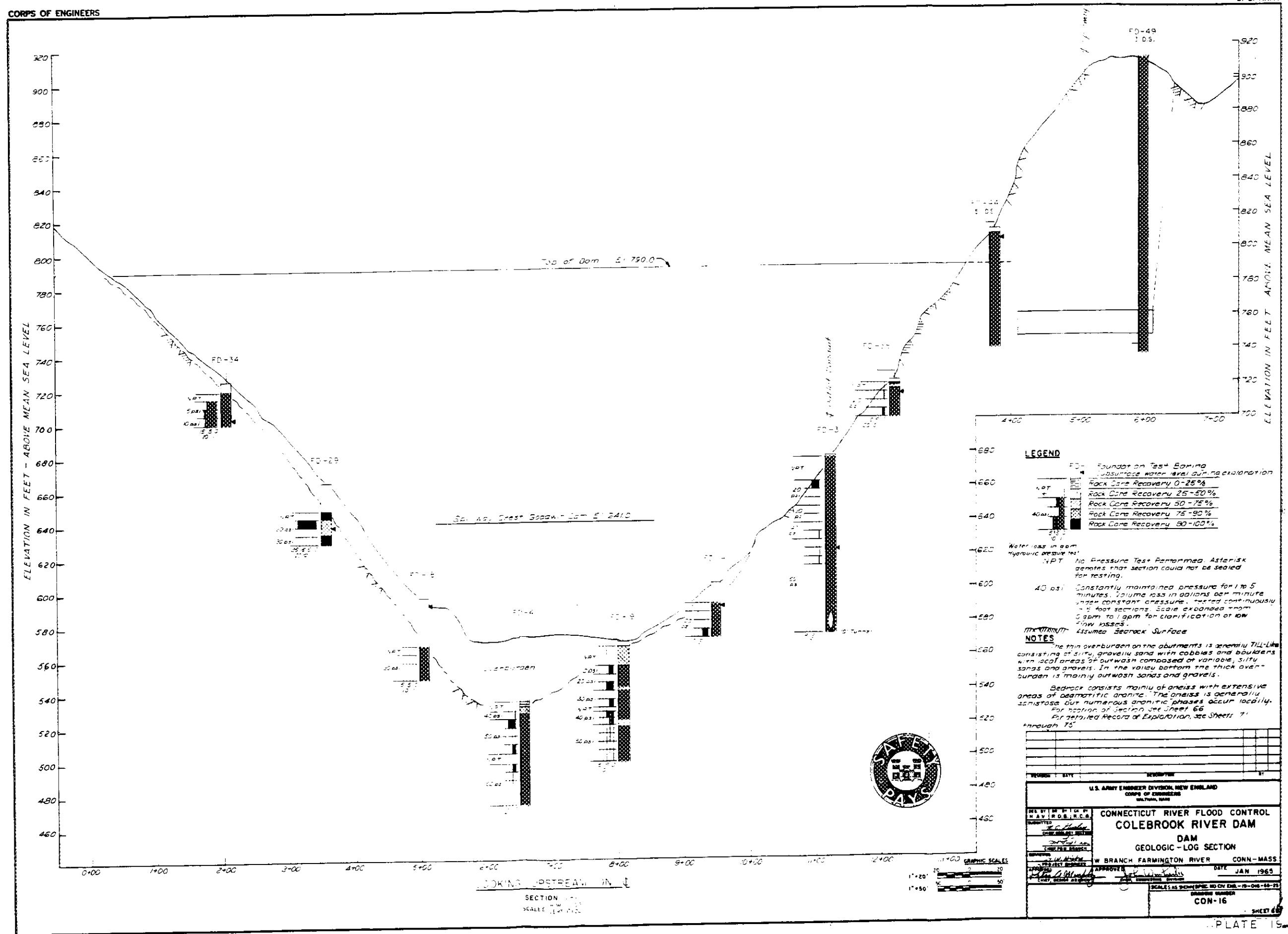
CORPS OF ENGINEERS



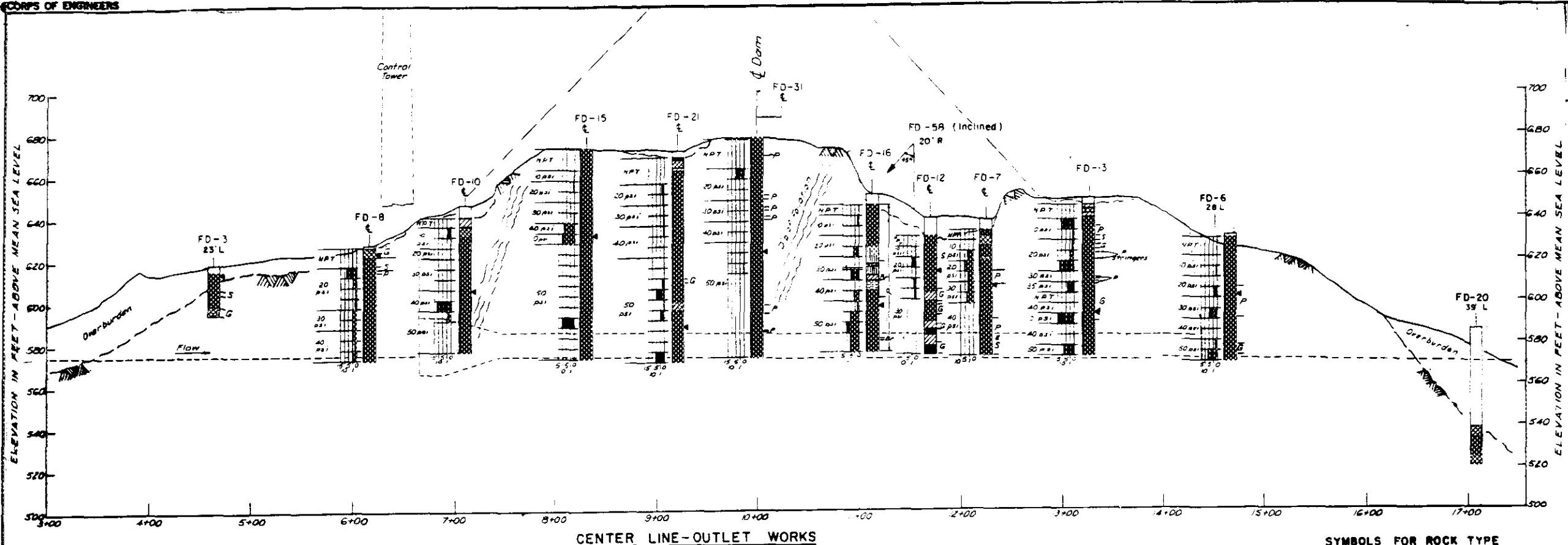




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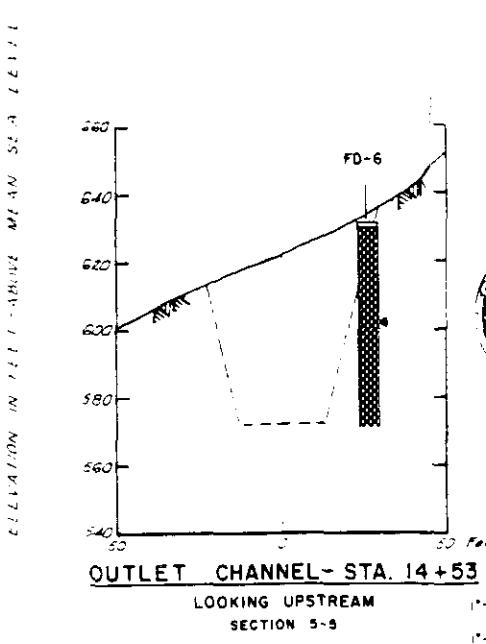
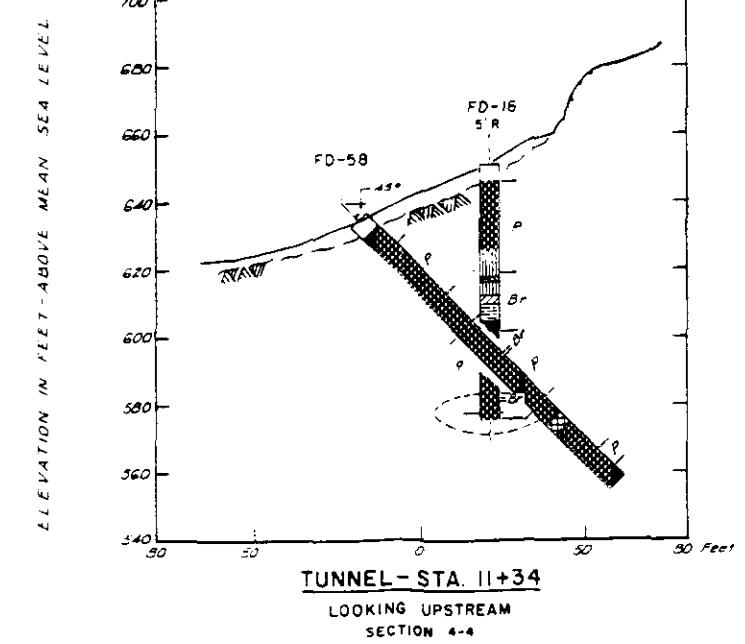
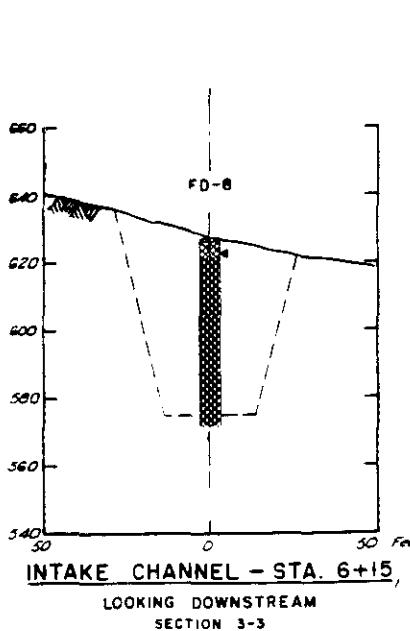


CORPS OF ENGINEERS



NOTES:

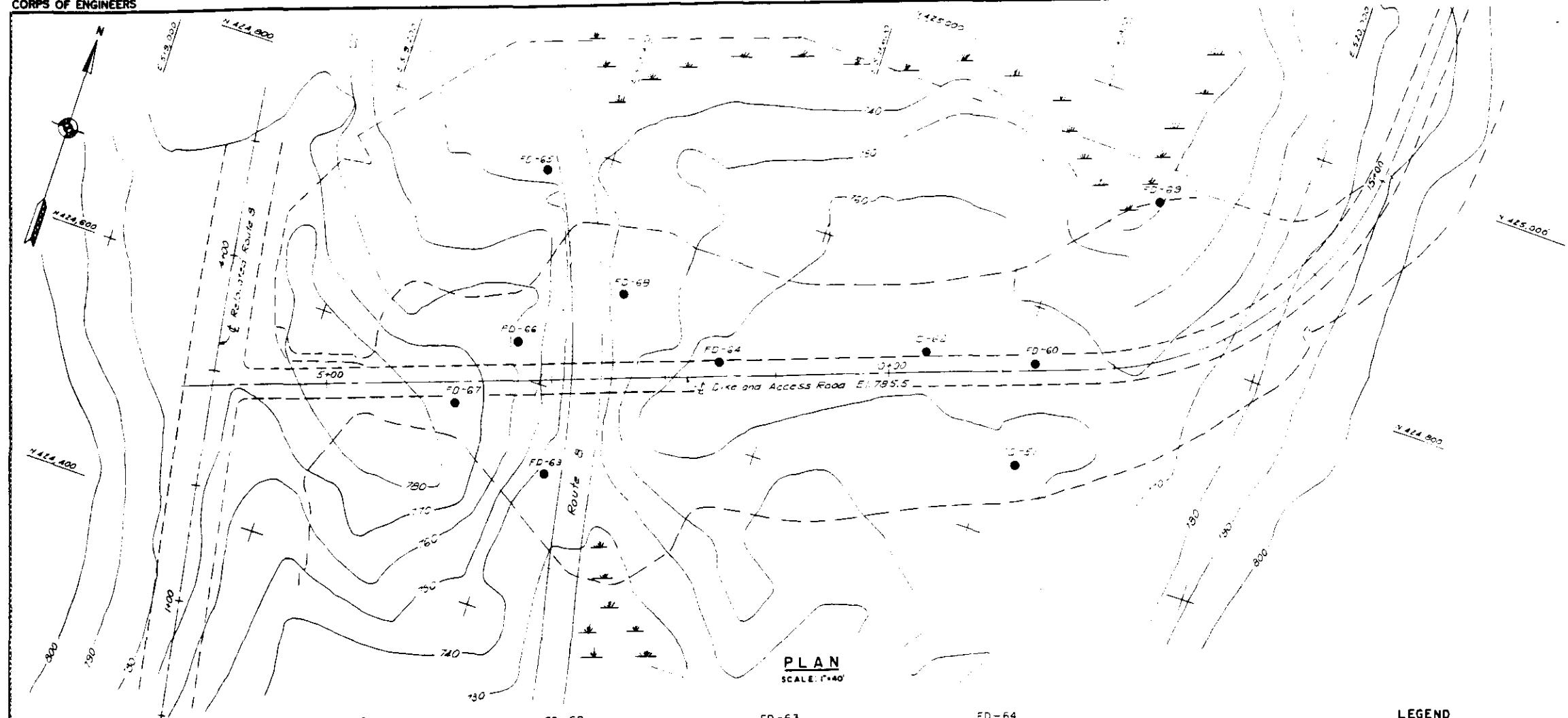
For location of sections see Sheet 66.
For Legend for geologic-log sections and graphic logs, see Sheet 68 and 71.
For detailed Record of Foundation Explorations, see Sheets 71 through 75.



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U. S. ARMY ENGINEER DIVISION, NEW ENGLAND CORPS OF ENGINEERS WATKINS, NEW YORK			
RECEIVED BY	DATE	RECORDED	BY
CONNECTICUT RIVER FLOOD CONTROL COLEBROOK RIVER DAM OUTLET WORKS			
RECEIVED BY	DATE	RECORDED	BY
GEOLOGIC - LOG SECTIONS WEST BRANCH FARMINGTON RIVER, CONN.-MASS.			
RECEIVED BY	APPROVED	DATE	JAN 1965
CHIEF DESIGN ENGINEER	CHIEF INSPECTOR	CHIEF INSPECTOR	
SCALE ACTUARIAL SPEC. NO. C-1000-10-000-69-28			
DRAWN BY			
CON-16			

PLATE 20

CORPS OF ENGINEERS

PLAN
SCALE: 1" = 40'FD-51
21 OCT. 1963
EL. 754.8

0	Silty, fine SAND
10	Silty, gravelly SAND
20	Silty, sandy GRAVEL Silty, gravelly TILL
30	Silty, medium fine SAND
40	Silty, fine SAND Gravelly silty medium to fine SAND
50	Silty, fine SAND

DEPTH IN FEET

FD-60
2 DEC. 1963
EL. 767.0

0	TOPSOIL Silty, gravelly SAND with organic Silty, gravelly SAND with cobbles (TILL-LIKE)
10	Silty, gravelly SAND (TILL)
20	Silty, gravelly SAND with cobbles (TILL)
30	Silty, gravelly SAND (TILL)
40	Silty, fine SAND (TILL)
50	Silty, fine SAND (TILL)

FD-62
12 NOV. 1963
EL. 763.3

0	Silt, sandy SILT with organic Medium to fine sand, SILT with gravel and organic Grovelly, silty SAND with organics (TILL)
10	Silty, sandy GRAVEL (TILL)
20	Silty, gravelly SAND (TILL)
30	Silty, gravelly SAND (TILL)
40	Silty, sandy GRAVEL (TILL)
50	Silty, sandy GRAVEL (TILL)

FD-63
14 NOV. 1963
EL. 734.1

0	TOPSOIL
10	Silty, gravelly SAND with occasional cobbles
20	Silt, SAND with occasional cobbles
30	Silty, fine SAND with trace of gravel
40	Gravelly, silty medium to fine SAND
50	Silty, gravelly medium to fine SAND
60	Silty, fine SAND
70	Silty, gravelly SAND (TILL)

FD-64
18 NOV. 1963
EL. 766.2

0	Silt, gravelly SAND with organic (TILL)
10	Silty, gravelly SAND (TILL)
20	Silty, sandy GRAVEL (TILL)
30	Silty, sandy GRAVEL (TILL)
40	Silty, fine SAND with trace of gravel
50	Silty, sandy GRAVEL (TILL)
60	Silty, fine SAND with trace of gravel

FD-65
18 NOV. 1963
EL. 737.1

0	TOPSOIL
10	Silty, gravelly SAND with organic Silty, gravelly SAND with cobbles (TILL-LIKE)
20	Silty, gravelly SAND (TILL)
30	Silty, gravelly SAND (TILL)
40	Gravelly silty SAND (TILL)

FD-66
20 NOV. 1963
EL. 763.3

0	TOPSOIL with gravel and organic
10	Silty, gravelly SAND (TILL)
20	Silty, gravelly SAND with cobbles (TILL)
30	Silty, gravelly SAND (TILL)
40	Silty, sandy GRAVEL (TILL)
50	Silty, sandy GRAVEL (TILL)

FD-67
22 NOV. 1963
EL. 775.6

0	TOPSOIL
10	Silty, gravelly SAND (TILL)
20	Silty, gravelly SAND with cobbles (TILL)
30	Silty, gravelly SAND (TILL)
40	Silty, gravelly SAND (TILL)

FD-68
5 NOV. 1963
EL. 741.4

0	TOPSOIL (TILL)
10	Silty, gravelly SAND with pieces of wood and cobbles (TILL)
20	Silty, sandy GRAVEL (TILL)
30	Silty, fine SAND with organic
40	Silty, sandy GRAVEL Silty, gravelly SAND
50	Sandy GRAVEL
60	Sandy GRAVEL
70	Sandy GRAVEL
80	Sandy GRAVEL
90	Sandy GRAVEL
100	Sandy GRAVEL
110	Sandy GRAVEL
120	Sandy GRAVEL
130	Sandy GRAVEL
140	Sandy GRAVEL
150	Sandy GRAVEL
160	Sandy GRAVEL
170	Sandy GRAVEL
180	Sandy GRAVEL
190	Sandy GRAVEL
200	Sandy GRAVEL
210	Sandy GRAVEL
220	Sandy GRAVEL
230	Sandy GRAVEL
240	Sandy GRAVEL
250	Sandy GRAVEL
260	Sandy GRAVEL
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290	Sandy GRAVEL
300	Sandy GRAVEL
310	Sandy GRAVEL
320	Sandy GRAVEL
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390	Sandy GRAVEL
400	Sandy GRAVEL
410	Sandy GRAVEL
420	Sandy GRAVEL
430	Sandy GRAVEL
440	Sandy GRAVEL
450	Sandy GRAVEL
460	Sandy GRAVEL
470	Sandy GRAVEL
480	Sandy GRAVEL
490	Sandy GRAVEL
500	Sandy GRAVEL

GRAPHIC LOGS
SCALE: 1" = 10'

LEGEND

● FD Foundation Test Boring

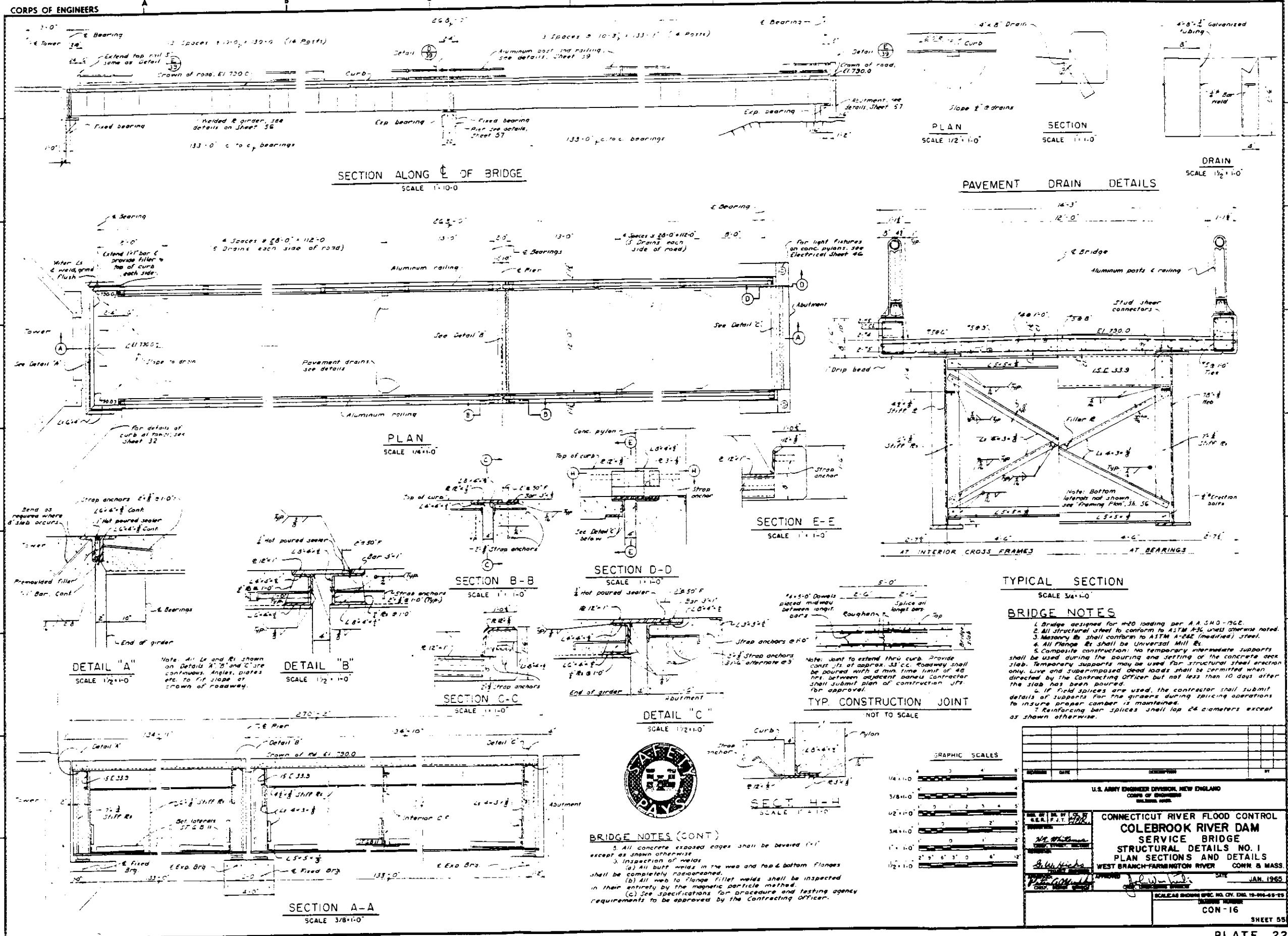
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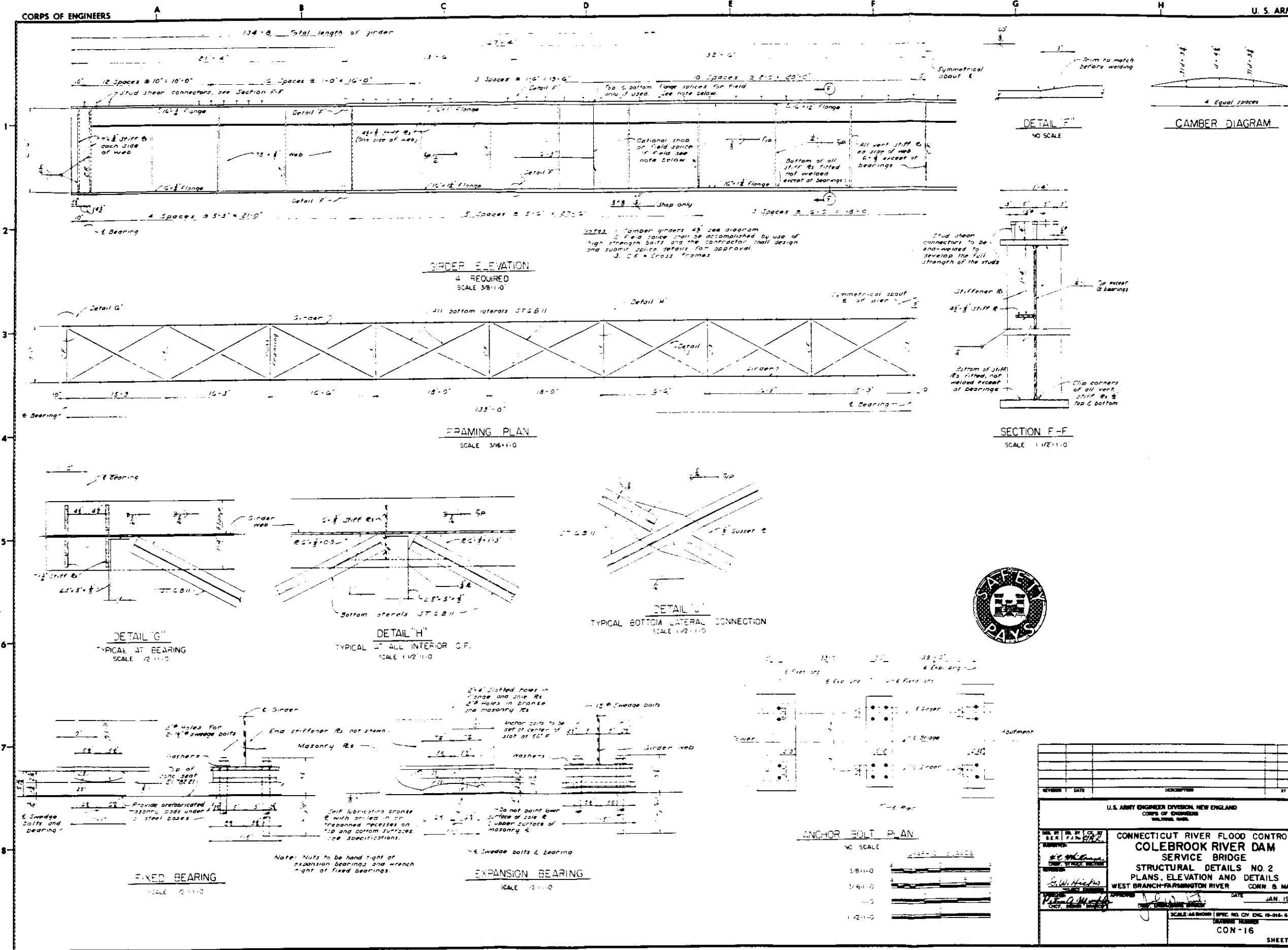
Elevations refer to Mean Sea Level.
Contour Interval is 10 feet.
Coordinates refer to Connecticut Lambert conformal grid system.
For general location of Dike see Reservoir Map Sheet 2
For Legend of Graphic Logs see Sheet 71



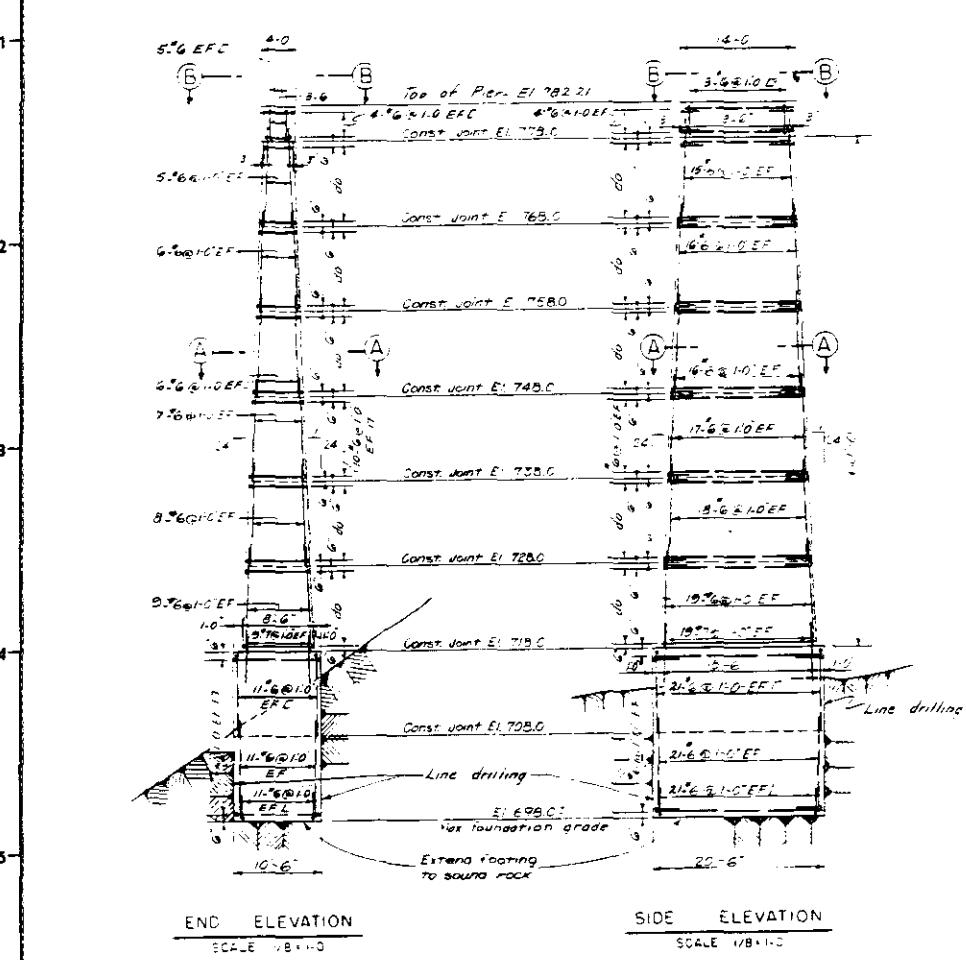
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PLAN AND RECORD OF EXPLORATIONS DIKE			
PROJECT ENGINEER: J. M. H. DATE: JAN 1965 APPROVED: J. M. H. CON-MASS CONTRACTOR: J. M. H. CON-MASS DRAWING NUMBER: CON-16 SHEET 70			

PLATE 21



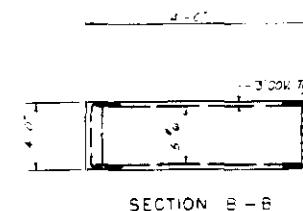


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SEARCHED	INDEXED
SERIALIZED	FILED
CONNECTICUT RIVER FLOOD CONTROL COLEBROOK RIVER DAM SERVICE BRIDGE	
STRUCTURAL DETAILS NO. 2 PLANS, ELEVATION AND DETAILS WEST BRANCH-FARMINGTON RIVER CONN & MASS	
APPROVED	DATE JAN. 1965
SCALE AS SHOWN SPEC. NO. CY ENG. 10-016-65-23	DRAWING NUMBER CON-16

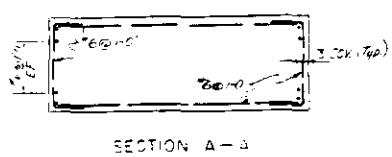


ENC ELEVATION
SCALE 1:800

SIDE ELEVATION

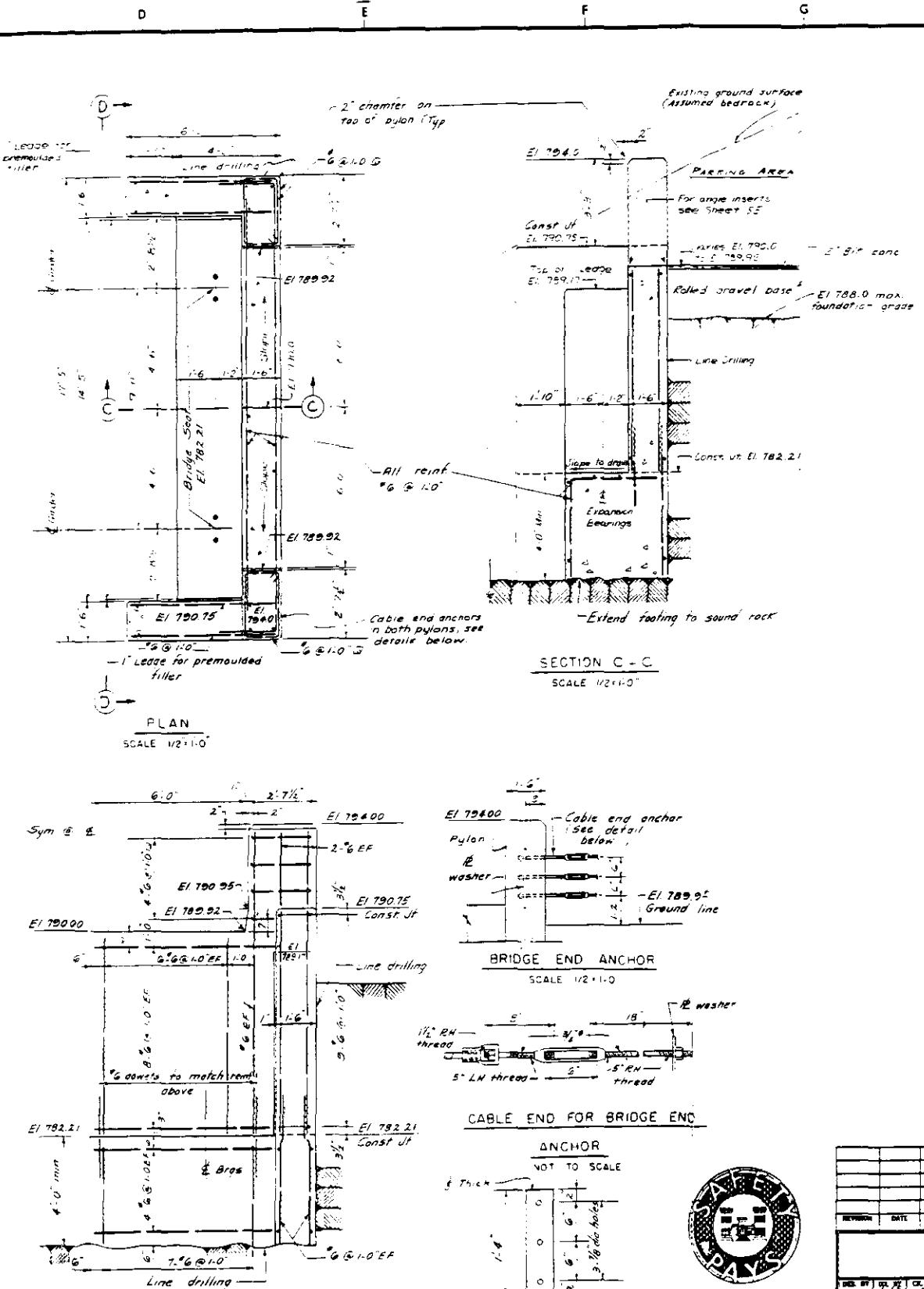


SECTION B -



SECTION A-A

CENTER PIER



SECTION D-D

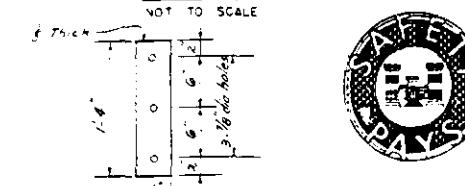
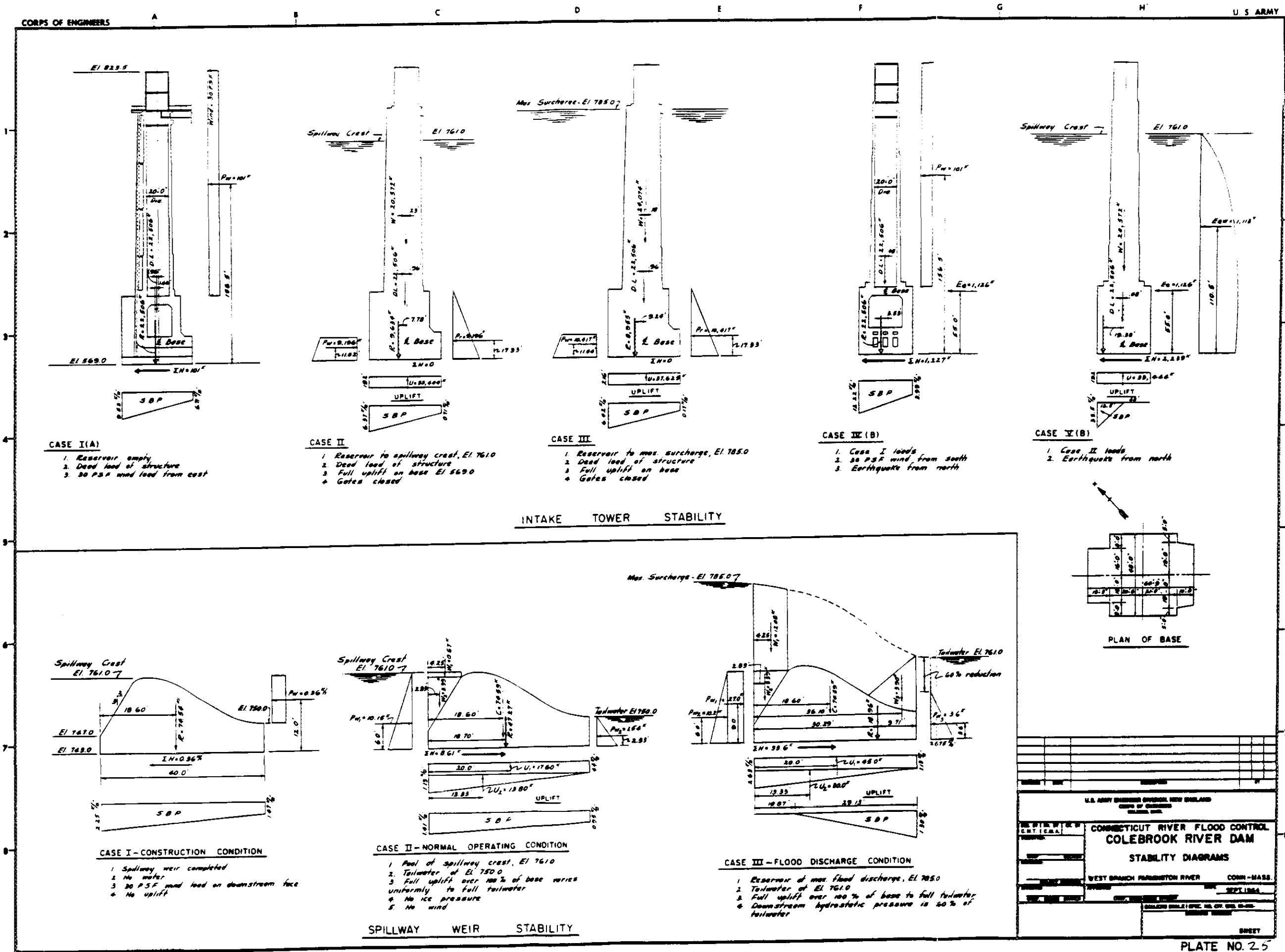
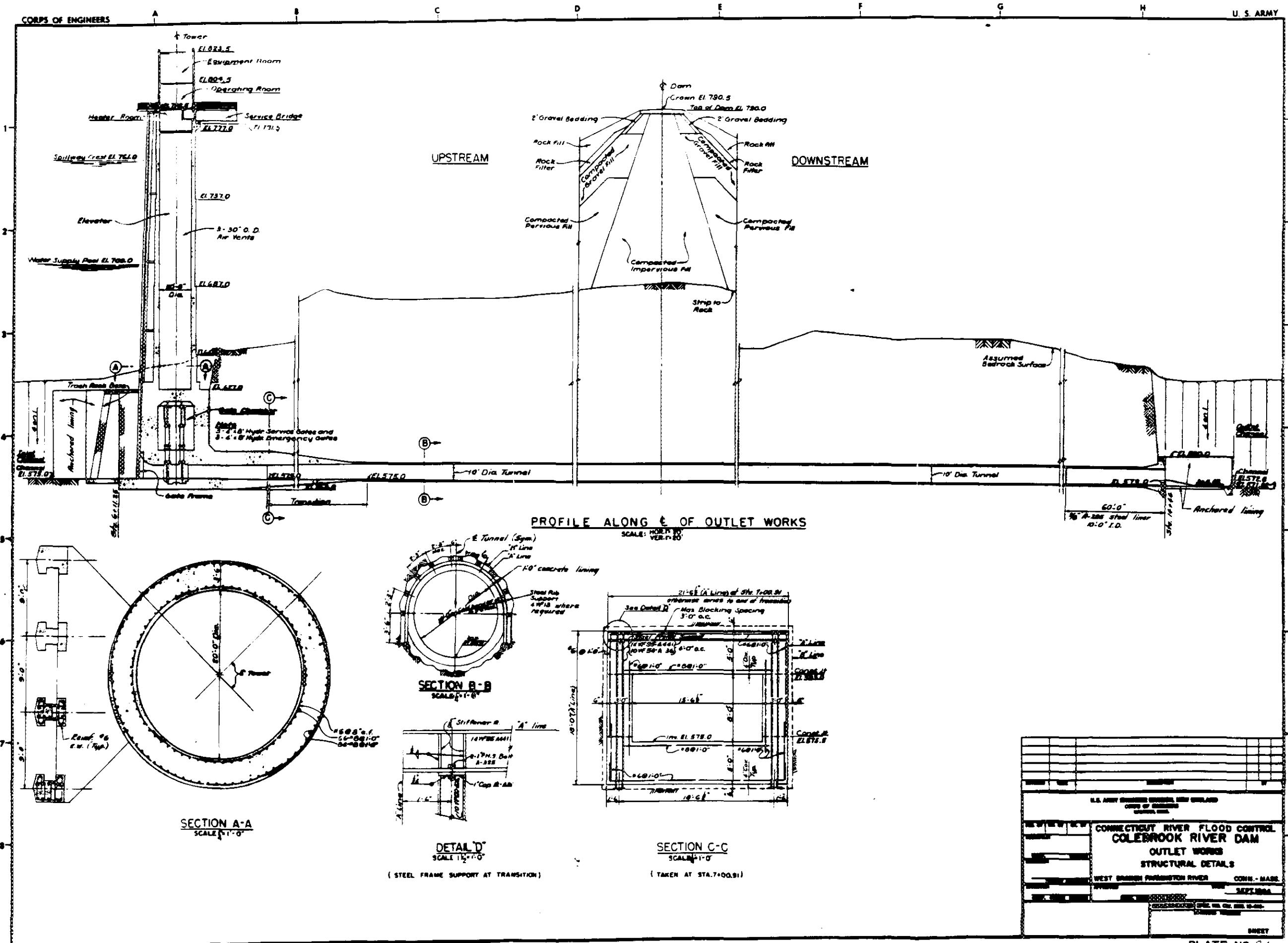
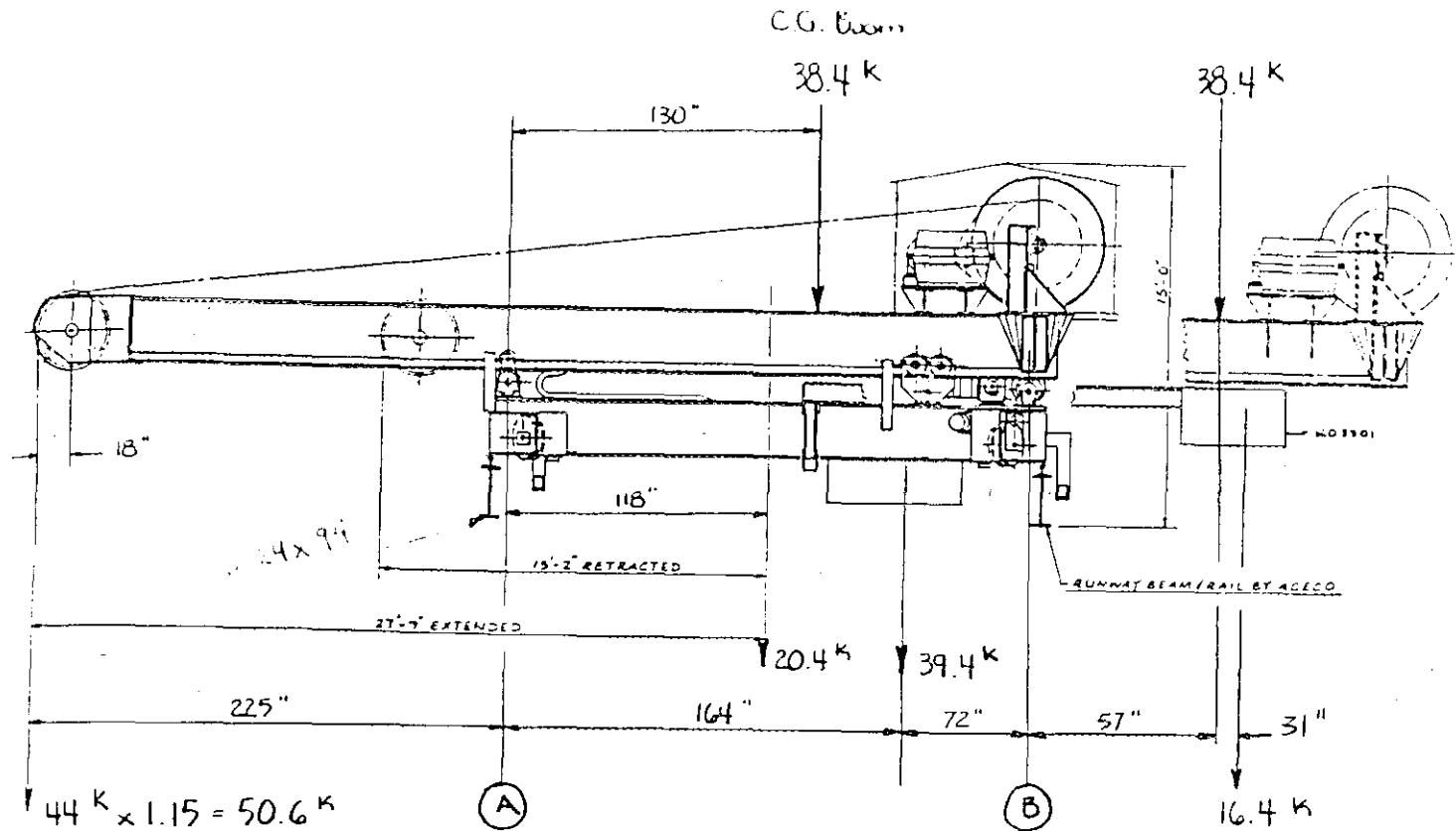


PLATE WASHER DETAIL

REVISION	DATE	RELEASER	BY
U.S. ARMY ENGINEER DIVISION, NEW ENGLAND COPPS OF ENGINEERS WATERTOWN, MASS.			
<p><i>H. E. Miller</i> CHIEF STAFF ENGINEER</p> <p><i>J. W. L. Jr.</i> PROJECT MANAGER</p> <p><i>H. E. Miller</i> CHIEF STAFF ENGINEER</p> <p><i>J. W. L. Jr.</i> PROJECT MANAGER</p> <p><i>H. E. Miller</i> CHIEF STAFF ENGINEER</p>			
CONNECTICUT RIVER FLOOD CONTROL COLEBROOK RIVER DAM SERVICE BRIDGE PIERS AND ABUTMENT DETAILS STEEL REINFORCEMENT WEST BRANCH-FARMINGTON RIVER CONN & MASS.			
APPROVED		DATE JAN 1965	
		DRAWING NUMBER:	
		CON - 16	
		SHEET 57	







Stability at (A) w/ 22 Ton Load

$$1.15 \times 44 \text{ K} \times 225" : 38.4 \text{ K} \times 130" + 20.4 \text{ K} \times 118" + 39.4 \text{ K} \times 164" + 16.4 \text{ K} \times 2$$

$$11,385 \text{ K} < 4992 \text{ K} + 2407.2 \text{ K} + 6461.6 \text{ K} + 4132.8 \text{ K}$$

$$11,385 \text{ K} < 17993.6 \text{ K}$$

~~w/ 0k
one should ext CW go~~
I < 1.58 Boom Extended

Stability at (B) w/ No Load

$$38.4 \text{ K} \times 57" : 39.4 \times 72" + 18.6 \text{ K} \times 118" + 16.4 \text{ K} \times 8\text{E}$$

$$2189 : 6475$$

I < 2.96 Boom Retracted

ACECO DOUGLASSVILLE, PENNA. 19518		<u>Catalyst</u> 22 Ton Bridge Crane		WORK ORDER NO. <u>5361</u>
DOCUMENT/SKETCH NO.				
DRAWN <u>D. Weber</u>	DATE <u>12-88</u>	APPR'D.	DATE	PAGE <u>6</u> OF <u>38</u>
DRAFTED				